

# Introduction to Strings, Dualities and D-branes

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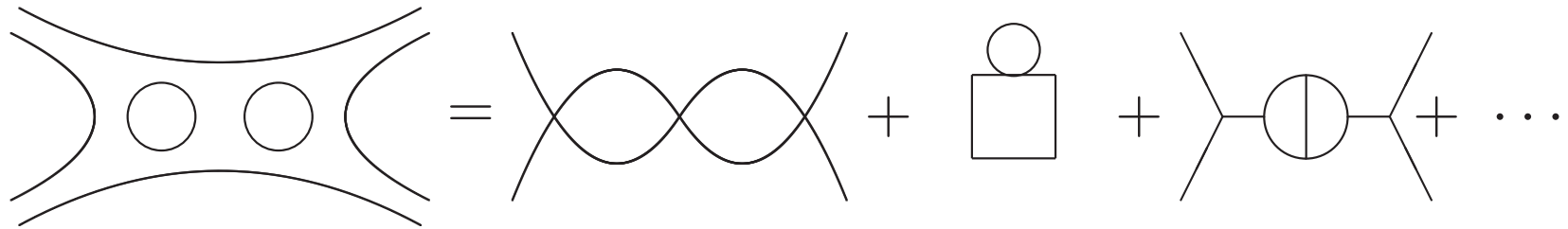
V. Batyrev (Tübingen), V. Braun & B. Ovrut (U. Penn);

## Content

- Particles, forces and strings
  - universal sectors of closed and open string
  - SUGRA and dualities
  - T-duality and quantum geometry
- D-branes
  - E-dyn. and electric-magnetic duality
  - black p-branes and D-branes
- Compactification:
  - Calabi–Yau, mirror symmetry and generalized geometry
- Toric Calabi–Yau constructions
  - classification and applications

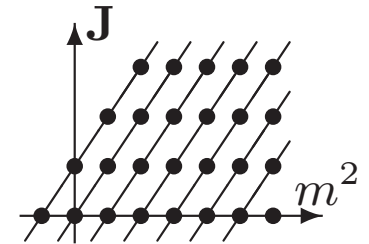
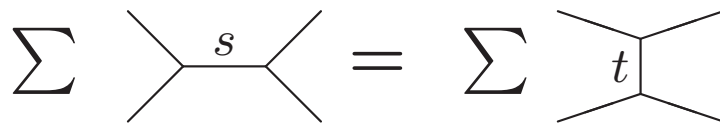
# Particles, forces and strings

- the “fundamental” string commercial: unify particles = oscillation modes & interactions = unique geometrical



but elementary? ... just cut it into pieces  $\Rightarrow$  point particle constituents

- historically: s/t/u duality of scattering amplitudes



Regge trajectories

- quantum dualities may be the real core of string theory, I presume
- apropos history: invented for strong interactions  
30++ years: AdS/CFT  $\rightarrow$  strong coupling expansions in gauge theory (dual gravity)

# Geometry & weakly coupled strings

most general **renormalizable** & conformally invariant action:

$$\frac{1}{4\pi\alpha'} \sqrt{|g|} g^{mn} \partial_m X^\mu \partial_n X^\nu G_{\mu\nu}(X) - \frac{1}{4\pi\alpha'} \varepsilon^{mn} \partial_m X^\mu \partial_n X^\nu B_{\mu\nu}(X) + \frac{1}{4\pi} \sqrt{|g|} \phi(X) R^{(2)}$$

- **10** almost free bosons  $X^\mu =$  space-time **coordinates** + **fermions**
- **Kaluza-Klein** (hidden dimensions): **# light modes**  $\leftrightarrow$  **Betti numbers**  
+ additions: **winding states become light for singular geometries**
- **universal**:
  - **graviton**  $G_{\mu\nu}$ : massless spin-two & **general coord. inv.**  
Einstein-Hilbert action plus  $\mathcal{O}(R^2)$  corrections
  - **B-field** (non-symmetric metric): topological limit  $\rightarrow$   
**deformation quantization / non-commutative geometry**
  - **dilaton**: VEV  $\langle\phi\rangle =$  coupling constant
- **gravitino(s)** (type II), **gauge fields** (heterotic, type I)

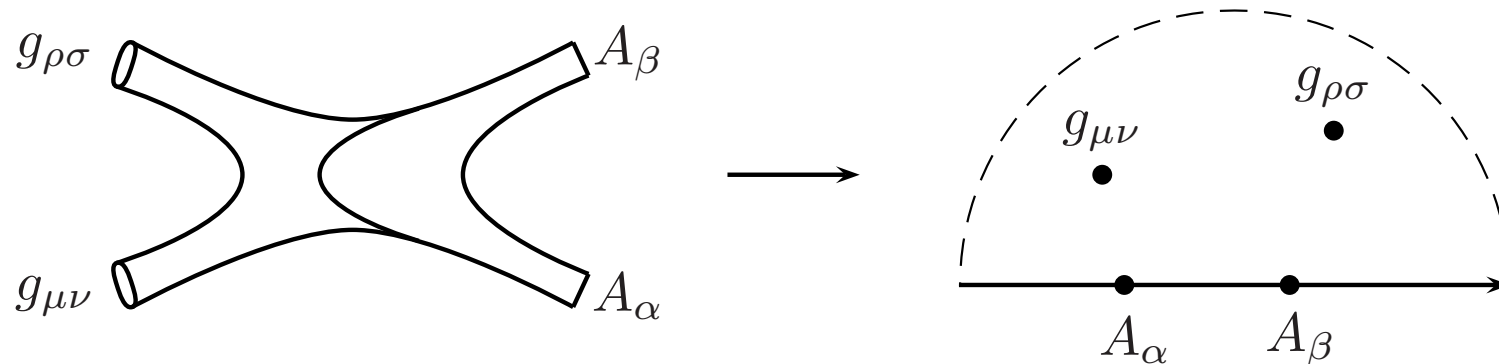
consistent motion  
 $\Rightarrow$  effective action

$$\frac{1}{2\kappa_0^2} \int d^D X \sqrt{-G} e^{-2\phi} (R + 4\partial_\mu \phi \partial^\mu \phi - \frac{1}{12} H_{\mu\nu\rho} H^{\mu\nu\rho}) + \dots$$

# Open strings, gauge invariance & electrodynamics

Closed string (conformal gauge):  $\mathcal{L} = \frac{1}{2\pi\alpha'} \partial X^\mu \bar{\partial} X^\nu \left( g_{\mu\nu}(X) + B_{\mu\nu}(X) \right)$

String diagram for **photon-graviton scattering**:



- Gauge invariance:  $\delta_\Lambda \left( \int_\Sigma X^* B \right) = 0$  for  $\delta_\Lambda B = d\Lambda$  (Stokes' theorem)

**But: open strings**  $\rightarrow$  boundary term  $\delta_\Lambda \left( \int_\Sigma B \right) = \int_{\partial\Sigma} \Lambda$

- Introduce **compensator field** ( $\sim$  surface charge in E-dyn.):

$$S_A = \int_{\partial\Sigma} A = \int_{\partial\Sigma} ds \partial_s X^\mu A_\mu(X) = \int_\Sigma F \quad \text{with} \quad F = dA$$

Gauge invariance:  $\delta B = d\Lambda, \quad \delta A = -\frac{1}{2\pi\alpha'} \Lambda + d\lambda \Rightarrow$

Invariant field strengths:  $H = dB = d\mathcal{F}, \quad \mathcal{F} = B + 2\pi\alpha' F.$

- D-brane effective action ...  $\mathcal{L}_{BI} \sim \sqrt{|g_{\mu\nu} + \mathcal{F}_{\mu\nu}|}$  ... gauge invariant
- Superstrings:  $A \rightarrow$  superpartner gaugino  $\lambda$

## Boundary conditions and noncommutativity

- strings  $\rightarrow$  operators  $X^\mu(\sigma, \tau) \rightarrow$  NC operator product

$$S = \frac{1}{2\pi\alpha'} \int_{\mathbb{H}} d^2z \partial X^\mu \bar{\partial} X^\nu \left( g_{\mu\nu}(X) + \mathcal{F}_{\mu\nu}(X) \right), \quad \mathcal{F} = B + 2\pi\alpha' dA$$

- **Surface terms** ... fluctuations about background value  $X \rightarrow X + \xi$ :

$$\begin{aligned} & \partial(\delta X^\mu \bar{\partial} X^\nu (g_{\mu\nu} + \mathcal{F}_{\mu\nu})) + \bar{\partial}(\partial X^\mu \delta X^\nu (g_{\mu\nu} + \mathcal{F}_{\mu\nu})) \\ &= \partial(\delta X^\mu \bar{\partial} X^\nu (g_{\mu\nu} + \mathcal{F}_{\mu\nu})) + \bar{\partial}(\partial X^\nu \delta X^\mu (g_{\mu\nu} - \mathcal{F}_{\mu\nu})) \end{aligned}$$

- **Boundary conditions:**  $\int dy \partial(\cdot) = - \int dy \bar{\partial}(\cdot)$

$$g_{\mu\nu}(\partial - \bar{\partial})X^\nu - \mathcal{F}_{\mu\nu}(\partial + \bar{\partial})X^\nu = g_{\mu\nu} \partial_{\text{tang.}} X^\nu - \mathcal{F}_{\mu\nu} \partial_{\text{normal}} X^\nu = 0$$

## Propagator:

$$\langle \zeta^\mu(u, \bar{u}) \zeta^\nu(w, \bar{w}) \rangle_{\mathcal{F}} = -\alpha' \left\{ g^{\mu\nu} (\ln |u - w| - \ln |u - \bar{w}|) + G^{\mu\nu} \ln |u - \bar{w}|^2 - \Theta^{\mu\nu} \ln \left( \frac{\bar{w} - u}{\bar{u} - w} \right) \right\}$$

- define  $(g_{\mu\nu} + \mathcal{F}_{\mu\nu})^{-1} = G^{(\mu\nu)} + \Theta^{[\mu\nu]}$
- $g^{\mu\nu}$ -term  $\rightarrow 0$  at boundary  $\partial\Sigma \Rightarrow G^{\mu\nu} =$  “open string metric”
- for  $G^{\mu\nu} \rightarrow 0$  propagator  $\rightarrow$  antisym. step function at  $\partial\Sigma$   
 $\Rightarrow$  non-commutative non-singular operator product  $\sim$  Moyal
- **Proposal:** define non-commutative product

$$f(x) \circ g(x) = \frac{1}{\sqrt{|g+\mathcal{F}|}} \int \mathcal{D}\xi e^{-S[X=x+\xi]} f(X(0)) g(X(1))$$

- includes quantum corrections; regularized by putting  $u = 0, w = 1$
- off-shell  $A_\infty$  administrating non-associativity
- BI measure comes up in sum over graphs

Consistency: **dimension**  
 Stability: **no tachyon**  $\Rightarrow$  **Maximal 10D supergravities** +15y/'84  
 (effective low energy action)

- **IIA**: Two gravitinos of opposite chirality (non-chiral)

$$S_{Bose}^{IIA} = S_{NS} - \frac{1}{4\kappa_{10}^2} \int dV (|F_2|^2 + |\tilde{F}_4|^2) - \frac{1}{4\kappa_{10}^2} \int B_2 \wedge F_4 \wedge F_4$$

$$\int dV := \int d^{10}X \sqrt{-G}, \quad |F_p|^2 = \frac{1}{p!} F_{\mu_1 \dots \mu_p} F^{\mu_1 \dots \mu_p}, \quad \tilde{F}_4 = dC_3 - C_1 \wedge dB_2$$

universal part  $S_{NS} = \int dV e^{-2\phi} (R + 4(\partial\phi)^2 - \frac{1}{2}H^2)$  “Neveu–Schwarz”

Einstein's unification: extra dimensions (Kaluza–Klein)  
 & asymmetric metric  $\hat{=} \hat{=} \text{torsion } \tilde{g}_{\mu\nu} = g_{\mu\nu} + B_{\mu\nu}$

but:  $B_{\mu\nu}$  is (generalized) gauge field ... directly related to strings!

Chern-Simons terms  $\longleftrightarrow$  Anomalies

SUGRA gives structure to hidden dimensions **constrains topology**

## M-theory: the good, the ugly, and the bad

- **Trademark of SUGRA:** **simple** idea

unique but **ugly** action: many many pages of fermion interactions

simple & **surprising implications**

- The **good:** **11D SUGRA** is the **most beautiful SUGRA**

particles: **graviton**  $g_{\mu\nu}$ , **gravitino**  $\psi_\alpha^\mu$  and **3-form gauge** field  $A_{\mu\nu\rho}$   
**‘completely’ unique** (non coupling constant) ... **IIA = 11D / circle:**

$R_{11d} \sim g_{11d}$ : **strong coupling** limit grows Lorentz invariant effective **11th dimension**

- The **bad:** Membrane quantization failed (no mass gap!)

but: **11th dimension** can be understood **in terms of D-branes!**

This phenomenon was called **“M-theory”**, in the hope that it may be more fundamental than strings. But so far this hope did not materialize, hence strings are again called “strings” and not “string/M-theory” ;-)

## Signatures of 11 dimensions:

**11-dimensional** Lorentz invariance organizes BPS states into **multiplets**, whose counting is related to (statistical) black hole entropy  
Counting yields integers, which can be translated into new  
**Number theoretical** properties of **Donaldson–Thomas invariants** of symplectic manifolds (Donaldson theory) ... [Gopakumar–Vafa 2000]

## The type II-B story $\ni$ AdS/CFT = holography

- $S_{Bose}^{IIB} = S_{NS} - \frac{1}{4\kappa_{10}^2} \int dV (|F_1|^2 + |\tilde{F}_3|^2 + \frac{1}{2}|\tilde{F}_5|^2) - \frac{1}{4\kappa_{10}^2} \int C_4 \wedge H_3 \wedge F_3$   
+ all the ugly fermion terms

NS-fields:  $e^\phi$ ,  $B_2$ , graviton

RR-fields:  $C_0$ ,  $C_2$ ,  $C_4^+$

- One **observes** exact classical  $SL(2, \mathbb{R})$ -invariance:

$$\tau = C_0 + ie^{-\phi} \rightarrow \frac{a\tau + b}{c\tau + d}, \quad \begin{pmatrix} F_3 \\ H_3 \end{pmatrix} \rightarrow \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} F_3 \\ H_3 \end{pmatrix}$$

instantons corrections /  $\theta$ -angle = **axion** =  $C_0$

**Peccei–Quinn** story is universal !

Exact  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z})$  symmetry after quantization:

**strong coupling**  $\leftrightarrow$  **weak coupling** ... explained by D-branes

## T-duality

- Down to 4 dimensions: Kalazu–Klein on circle
- Kaluza–Klein oscillation modes:  $E_O \sim n^2/R^2$
- Stringy winding modes:  $E_W \sim 4\pi^2 R^2 w^2$

$E_{total} = E_O + E_W$  invariant under  $n \leftrightarrow w$

$$R \leftrightarrow 2\pi\alpha'/R$$

**Theorem:** String scattering amplitudes in effective low energy theory in lower dimension are invariant to all orders in perturbation theory (there is also a QFT argument implying non-perturbative invariance)

## Bosonization & a 1st glance at quantum geometry

The following compactifications are **exactly quantum equivalent**:

- $n$  **periodic free bosons  $X$** :  
compactification of **root lattice of  $SO(n)$**
- Non-geometrical “CFT substructure”:  **$2n$  free fermions**
- WZW-Model ('87): **Interacting bosons** with WZ-coupling on **group manifold of  $SO(2n)$**  (dimension  $\sim n^2$  !!!)
- strongly curved spacetime  $\Rightarrow$  critical dimension is strongly reduced by quantum corrections to general relativity (exactly computable!)

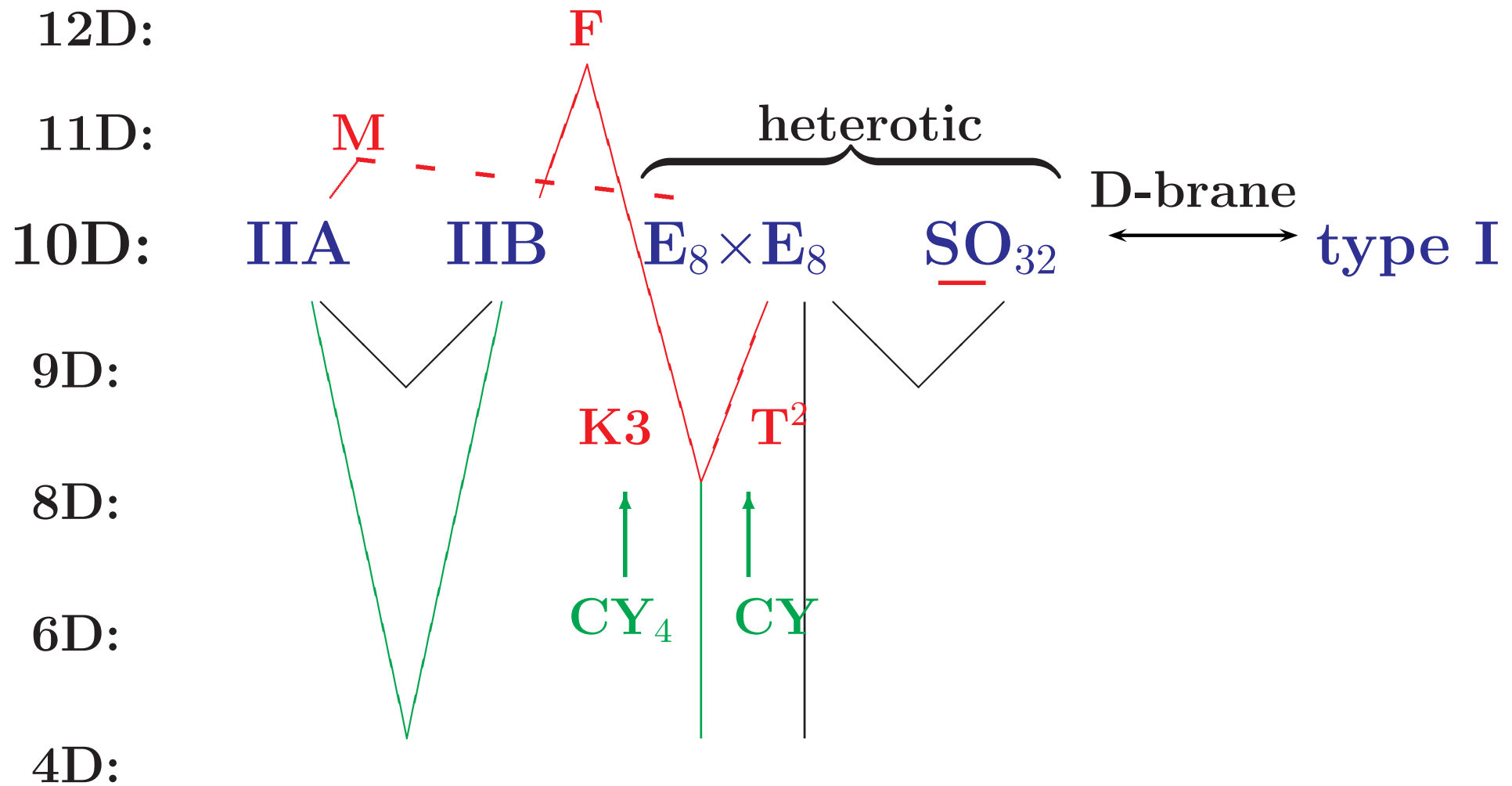
Problem: **exactly solvable** = stuck at **isolated points** in configuration space of strings  $\Rightarrow$  lose full **power of geometry**:

- Way out: **geometrical compactification**  
(relatively) large hidden dimensions  $\gg 10^{-35}m$   
 $\Rightarrow$  can trust Einstein equations

# The web of string dualities

“geometrical compactification”:

$$\mathcal{M}_{10} = M_4 \times K$$



## D-branes: What about RR-fields

so far we ignored  $\approx 3/4$  of the fields!

SUGRA people (P. Townsend et al.; ... A. Strominger):

- **solitonic black branes** (AKA D-branes)
- Some electrodynamics:
- antisymmetric tensor fields  $A_{\mu_1 \dots \mu_p} \mapsto$  p-form  $A^{(p)}$
- differential  $d$ :  $A \mapsto dA \dots \partial_{[\mu_0} A^{\mu_1 \dots \mu_p]}$  Edyn:  $curl = d$
- Hodge dual  $*$ :  $A \mapsto *A \dots \varepsilon_{\mu_1 \dots \mu_d} A^{\mu_1 \dots \mu_p}$  Edyn:  $div = *d*$
- $d^2 = 0 \leftrightarrow \text{div rot} = \text{rot grad} = 0$ ,  $F \rightarrow *F \Leftrightarrow E \leftrightarrow B$
- field strength  $F = dA$ , gauge invariance  $A' = A + d\Lambda$
- physics in  $d > 4$ : antisymmetry + gauge invariance  $\Rightarrow$  in 4d spin  $\leq 1$
- origin: two gravitini  $\rightarrow$  **bi-spinor**  $\psi \otimes \psi$   
**Clebsch–Gordan** for  $SO(d) \Rightarrow$  even/odd forms

**Integration:** p-forms over p-dimensional objects:

$\int_V F$  is invariant under deformation if  $dF = 0$

(homogeneous Maxwell equation)

$$Q_E = \int_{S^2} *F, \quad Q_M = \int_{S^2} F$$

$S^2$  = sphere enclosing point particle.

**Black branes:** Take YM instanton, add 1 time and 5 space dimensions

**p-form gauge field:** enclosed by  $10 - p - 1$  dimensional sphere,  
electric (p-1)-brane, magnetic (10-p-3)-brane.

e.g.: Maxwell force:  $\int_V A \rightarrow \int AdS, \int Bd^2\Sigma, \dots B_2 \rightarrow$  strings

- **Polchinski '89:** T-duality for open strings  
need winding  $\Rightarrow$  fix string ends Dirichlet boundary conditions
- “fix string ends at D-brane”

## Polchinski: 6 years later: identity **D-brane = black brane**

- **test: compute RR-charge** from **open string scattering** amplitude (PRL '95)  
closed strings can 'fall' into black branes and end behind their horizon
- **implication** : compute **strong coupling expansion** of spectrum and interactions of **collective modes = photons and photinos** of soliton (black hole) in type II (closed) strings with **weakly coupled dual type I** (open) string scattering
- **New type of matter:**  $S_{effective} = \text{Dirac-Born-Infeld} + \text{Wess-Zumino}$

$$S_{DBI} = -\mu_p \int_{\mathcal{V}_{p+1}} \text{Tr}(e^{-\phi} \sqrt{-\det(G_{ab} + B_{ab} + 2\pi\alpha' F_{ab})})$$

$$S_{WZ} = \mu_p \int_{\mathcal{V}_{p+1}} \sum_i C^{(i)} \wedge e^{2\pi\alpha' F+B} \sqrt{\frac{\hat{A}(4\pi^2\alpha' R_T)}{\hat{A}(4\pi^2\alpha' R_N)}}$$

**Fermions:  
anomalies**

**$\hat{A}$ =Dirac genus**

conserved D-brane **charges** are classified by **K-theory** (coherent sheaves)

they **can change dimensions and/or decay in curved space**

- by S-dual weakly coupled open string:

$$\text{RR-charge } \mu_p^2 = \frac{\pi}{\kappa_{10}^2} (4\pi^2 \alpha')^{3-p} = 2^{2\phi} \tau_p^2, \dots \tau_p = \text{brane tension}$$

**BPS-branes**/extremal BH: no force  $\mathcal{A}_{NS-NS} = -\mathcal{A}_{R-R} \rightarrow$  **many checks!**

- gauge fields are localized on branes (weak gravity)  
bifundamental matter brane intersections (cf. standard model!)
- **stack of  $N$  branes**: large  $N$  QCD / **decouple gravity: AdS/CFT**

- **Grand Unification / brane worlds** dilute gravity  $\rightarrow$  **reduce  $M_{Pl}$**   
different phenomenological scenarios:

**GUT** vs. “large volume” =  $10^{11} \text{ GeV}$ : **Inflaton** = size of hidden dim. **decide at LHC?**

- IIA:  $\tau_p \sim g^{-1} \alpha'^{-(p+1)/2} \rightarrow$  mass  $\tau_p^{1/(p+1)} \sim g^{-1/(p+1)} \alpha'^{-1/2}$

for  $g \rightarrow \infty$  D0-brane dominates  $\tau_0 = \frac{1}{g\sqrt{\alpha'}}$ ,  $\Rightarrow$  11<sup>th</sup> dimension,  $R_{10} = g\sqrt{\alpha'}$

**M-theory**: low energy limit is 11-dimensional SUGRA

- IIB:  $SL(2, \mathbb{Z})$  duality  $\leftrightarrow$  solitonic strings

# The CY story and mirror symmetry

- T-duality: IIA on circle  $\Leftrightarrow$  IIB on circle with inverse radius (non-chiral)
- Supersymmetric compactification:  $D\eta = 0$  “Killing spinor eq.”
- **complex** structure  $J^\nu_\mu = \eta^\dagger(\gamma_\mu\gamma^\nu - \delta^\nu_\mu)\eta$  ... after some Fierzing:  $J^2 = -\mathbb{1}$
- 3-form =  $\eta^\dagger\gamma_{[\mu}\gamma_\nu\gamma_\rho]\eta \Rightarrow$  vanishing 1st Chern class
- Calabi (conjecture) – Yau (proof): **SUSY  $\Rightarrow$  Einstein equations**
- **Mirror symmetry  $\equiv$  T-duality in curved space**
  - $\rightarrow$  infinite instanton sum  $\leftrightarrow$  classical geometry (with different topology!)
- **3x complex geometry:**
  - world sheet (conformal invariance), **SUSY  $\Rightarrow$**
  - **hidden dimensione** = Calabi–Yau geometry
  - **parameter space** = Kähler space of light scalar fields (SUSY pheno)instanton counting = **holomorphic curves on the quintic** [Candelas et al. '90]
- Seiberg–Witten '94 electric-magnetic duality in  $N = 2$  SYM  
Holomorphicity, Riemann Hilbert: auxiliary Riemann surface explicit tests!  
 $\subseteq CY$  ...  $\rightarrow$  “geometrical engineering” (Klemm, Vafa, ...)  
First few terms tested by explicit instanton calculations

# Fluxes, RR-fields, and generalized geometries

“Traditionally” 1/2 of the fields had been set to 0 !

- 1995 [Polchinski]: D-branes = sources for RR fields
- D-branes and fluxes required for moduli stabilization
- **Killing spinor [SUSY]**  $\nabla\eta = 0$  but  $\nabla = D^{LC} + Tor(B, C)$   
→ generalized complex structure  $\mathcal{J}^2 = -\mathbb{1}$  on  $T \oplus T^*$  [Hitchin '02]

$$\begin{pmatrix} -J & -\omega^{-1} \\ \omega & J^t \end{pmatrix}$$

- constructed in terms of pure spinors  $\Leftrightarrow$  differential forms

$$J = \eta^\dagger \Gamma_\mu{}^\nu \eta \text{ and } \mathcal{O}_{\mu\nu\rho} = \eta^\dagger \Gamma_{\mu\nu\rho} \eta$$

## Why 4 dimensions:

till 90s: hope for some non-perturbative reason for uniqueness of  $SM$ ,  
but:

- *2nd string revolution '95*: (some!) non-perturbative results

Witten's talk: String theory in arbitrary dimensions  $\rightarrow$  non-perturb. consistent

- Assuming SUSY well below the Planck scale (hierarchie):

$$W_{eff} \sim e^{-\text{Vol}} \left( \dots \dots \right) \xrightarrow{\text{Vol} \rightarrow \infty} 0$$

– cosmological constant  $\langle \mathbf{W} \rangle > 0 \Rightarrow$  METAstable

$\rightarrow$  Strings “want” to live in 10d

# Speculations:

- **Hartle–Hawking:** “tunneling from nothing”

boundary condition: no boundary

– flat space suppressed ( $\text{Vol} \rightarrow \infty$ )

– **Linde:** assumes cosmological principle !

**Torus is flat, finite volume, but non-isotropic!**

kind of spontaneous symmetry breaking

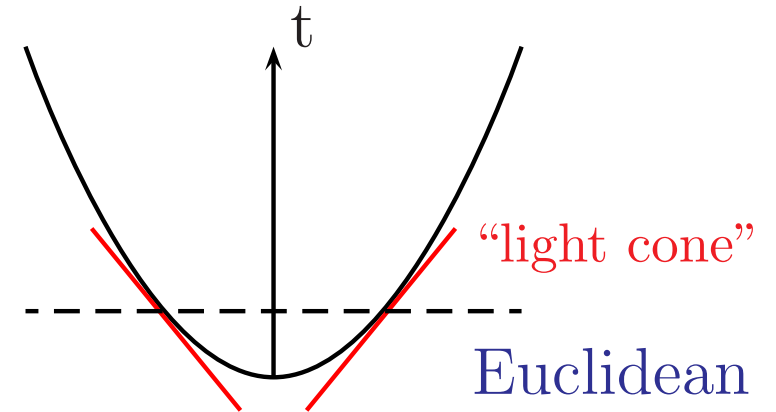
- Later: effective QFT; dynamical choice of space-time and forces?

“local cosmological principle” by inflation

but part of the “multiverse”?

- **Brandenberger–Vafa '89:** efficient string annihilation in  $d \leq 4$

– in  $d \leq 4$  /  $d > 4$  two 2-worldsheets (2d) generically meet / miss

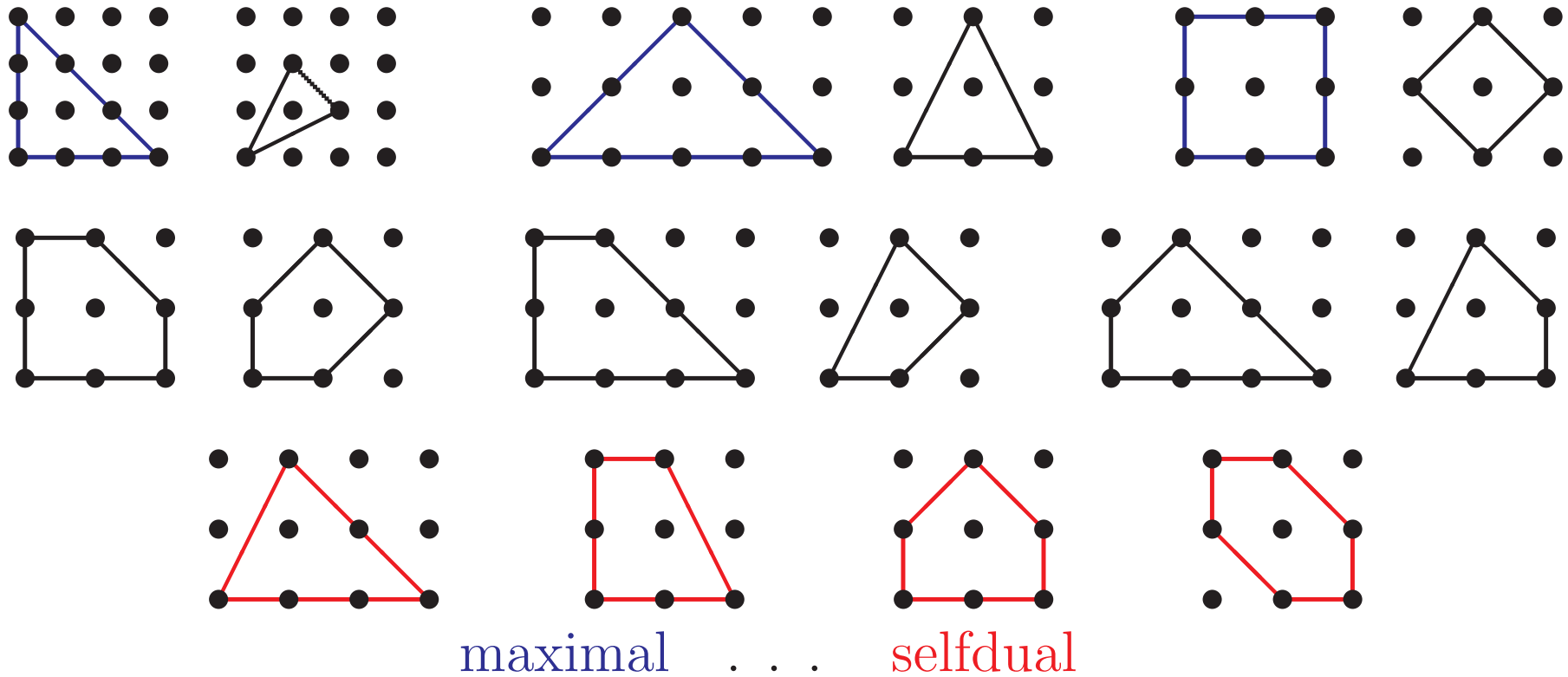


- dynamical choice of space-time?

$10^{500}$  flux vacue (on single CY) misleading → mostly bumps in mountain range

- stability and probability of inflation–cascades important!
- → antropic ? don't care: in any case
  - discrete values (Dirac-Zwanziger & flux quantization)
  - unique theory or choice of ‘vacua’ = solutions of EoM = consistent backgrounds?
  - in (non-unified) QFT: continuum of parameters
- so what about phenomenology:
- we have but a few small roads into the jungle of “Quantum Geometry”  
premature or not?
- LHC: consistent with SUSY GUT → take the heterotic road  
GUT-success mere coincidence → type II / brane worlds
- how can we hope to ever come close? ... hierarchy/SUSY & small gauge couplings

# Calabi–Yau [Batyrev]: Reflexivity



- 16 hypersurface tori (Calabi–Yau 1-folds), CY 3-folds:  $\dim(\Delta) = 3 + \text{codimension} \geq 4$
- 5 Fano 2-folds (smooth,  $c_1 > 0$ )
- 1 Fano hypersurface:  $\mathbb{P}^1$  (“hyperplane” in  $\mathbb{P}^1 \times \mathbb{P}^1$ )

# Reflexivity & mirror symmetry

**$N$  lattice:**  $v_i \in \Delta^\circ$  ... homogeneous coordinates  $z_i$ ,

‘toric’ (T-invariant) divisors  $D_i : \{z_i = 0\}$  (e.g.  $\mathbb{P}^n : D_i \sim H$ )

**$M$  lattice:**  $m \in \Delta \rightarrow$  Monomials  $\prod z_i^{\langle m, v_i \rangle + 1}$

‘+1’  $\Rightarrow$  sections of a line bundle (Cartier divisor).

**Batyrev ’93:** generic hypersurface is CY  $\Leftrightarrow \Delta$  reflexive, mirror symmetry:  $\Delta \longleftrightarrow \Delta^\circ$

formula for Betti numbers: count points  $l(\theta)$  on dual faces  $\theta \subset \Delta$  and  $\theta^\circ \subset \Delta^\circ$

$$h_{11}(X_\Delta) = h_{2,1}(X_{\Delta^\circ}) = l(\Delta^\circ) - 1 - \dim \Delta - \sum_{\text{codim}(\theta^\circ)=1} l^*(\theta^\circ) + \sum_{\text{codim}(\theta^\circ)=2} l^*(\theta^\circ)l^*(\theta)$$

Maximal coherent triangulation: CY is regular for 3-folds / generic singularities for 4-folds

**GLSM (Witten 1993):**  $U(1)^N$  SYM with  $L = L_{kin} + L_W + L_{gauge} + L_{D,\theta}$

D-term  $\Leftrightarrow$  moment map  $D = -\sum q_i |z^2| - r$

$\forall U(1)$ :  $r_j =$  Kähler parameters, charges  $q_i =$  ‘weights’

Complex structure: coefficients in polynomials

Strominger–Yau–Zaslov: SLAG fibration with  $T^3$  fibers  $\rightarrow$  MS = T-duality

$\Delta \subset M =$  image of  $\mathbb{P}_\Delta$  under moment map (symplectic reduction)

$\rightarrow$  duality of face lattice

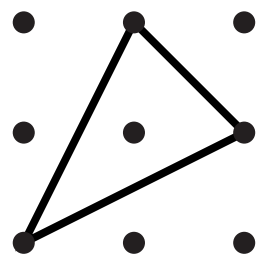
# Classification results

- general Algorithm: hep-th/9505120 [M.K., H. Skarke]
- **maximal** objects are **Newton polytopes**  $\sum q_i n_i = d$ ,  
 $n_i \geq 0$ ,  $d = \sum q_i \Rightarrow \vec{n} = \vec{1} \in \Delta$  is the only possible interior point  
 $\vec{1} \in \Delta^0 \Rightarrow$  **finitely many weights**  $\vec{q}$ 's
- any reflexive  $\Delta \subset \Delta_{max}$  comes from **combined weights**
  - simplex decomposition of ‘minimal’  $\Delta^* \rightarrow$  barycentric coord.
  - $1x + 1y + 1z = 3$ ,  $1x + 1y + 2z = 4$ ,  $\begin{matrix} 1x+1y+0u+0v=2 \\ 0x+0y+1u+1v=2 \end{matrix}$
  - the polytope may live on a **sublattice** (finitely many)

maximal  $\Delta \rightarrow$  enumerate all reflexive subpolytopes on sublattices



minimal  $\Delta^*$



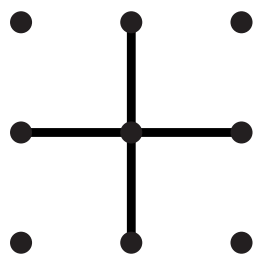
simplex "3"

barycentric coordinates  $q_i$

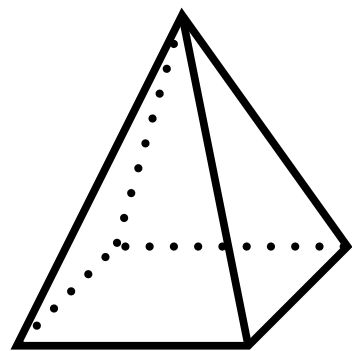
$$q_i = n_i/d$$

$$\sum n_i \vec{v}_i = 0 \rightarrow$$

$$d = \sum n_i$$



$2 \times 2$



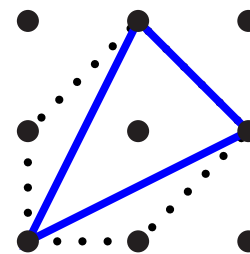
$3 + 3$

combined weight systems (CWS)

$$\begin{matrix} 1 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 1 \end{matrix}$$

Weight vector  $\rightarrow$  Newton polytope  $\Delta_q \leftrightarrow \Delta_q^*$

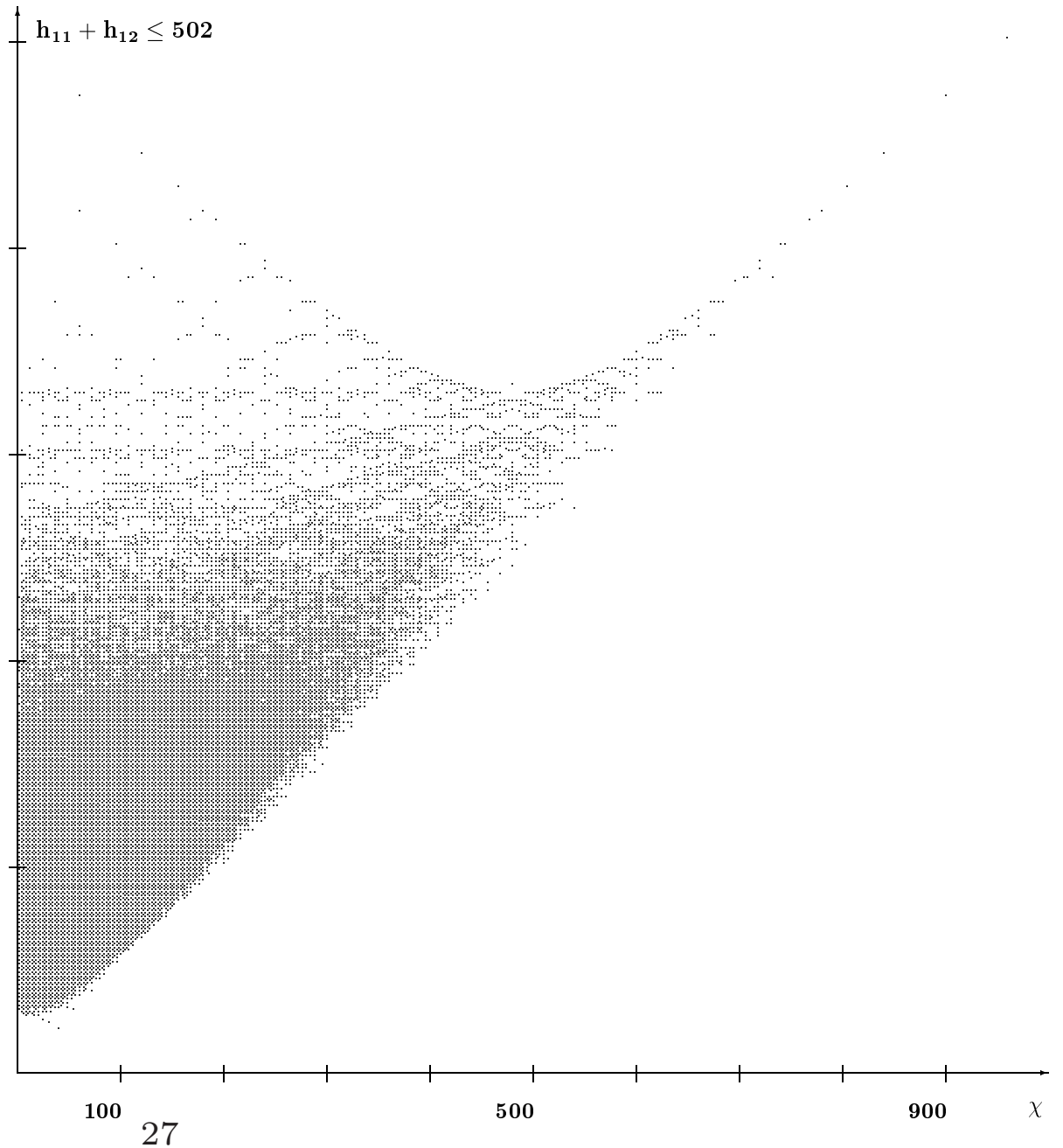
$$\vec{q} = \left(\frac{1}{3}, \frac{1}{3}, \frac{1}{3}\right) \rightarrow \Delta_q = \left\langle \begin{pmatrix} 3 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 3 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 3 \end{pmatrix} \right\rangle - \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$



**Lemma:** In each dimension there is only a finite number of weights  $(d, \vec{n})$  such that  $\Delta_q$  has an interior lattice point.

4 dimensions: [hep-th/0002240]

- 184.026 weights, 308+25+7 maximal reflexive polyhedra
- 473.800.776 reflexive polyhedra
- 30.108 pairs of Hodge numbers
- 4.5 GB database  
(internet search mask)
- test: mirror symmetry !



# Conifold transitions to non-toric Calabi–Yau varieties

V. Batyrev & M.K. (in preparation)

- Toric CICYs are *numerous and easy to work with!*
- Combinatorial *mirror symmetry!* [Batyrev-Borisov]: tools for computing quantum cohomology = Gromov-Witten = instanton sums
- But **how generic are they?**
- Reid's phantasy = Candelas: Other worlds around the corner (1990)

Moduli spaces of (all?) Calabi–Yau spaces are connected by singular transitions: singular geometry, but smooth physics: Black hole condensation (Strominger 1995)

V.Batyrev, M.K.: 4d reflexive *conifold* polytopes with  $\exists$  smoothing deformation

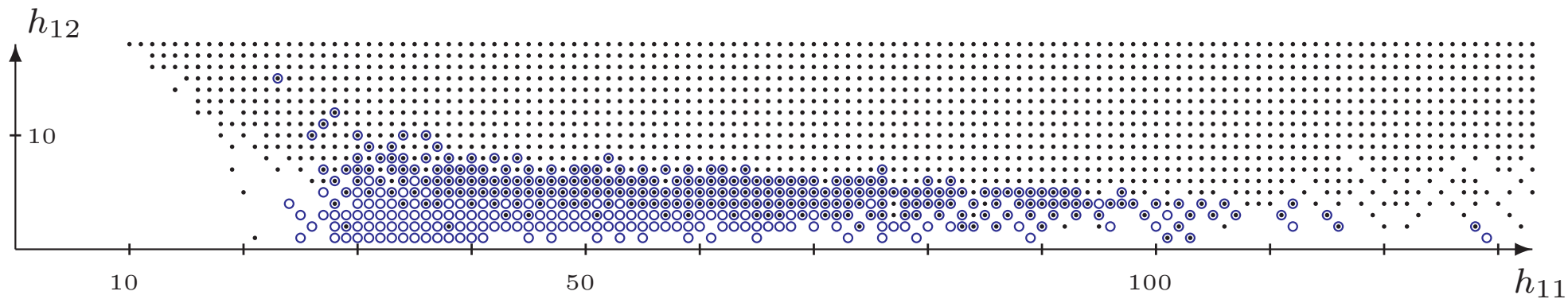
$h_{11} = 1$ : 8871 CYs with  $h_{12} = 21, 23-51, 53, 55, 59, 61, 65, 73, 76, 79, 89, 101, 103, 129$

210 smooth:  $h_{12} = 25, 28-41, 45, 47, 51, 53, 55, 59, 61, 65, 73, 76, 79, 89, 101, 103, 129$

$h_{11} = 2$ : 43080 CYs with  $h_{12} = 22, 24-80, 82-90, 96, 100, 102, 103, 111, 112, 116, 128$

3470 smooth:  $h_{12} = 26, 28-60, 62-68, 70, 72, 74, 76, 77, 78, 80, 82-84, 86, 88, 90, 96, 100, 102, 112, 116, 128$

$h_{11} = 3$ : ...



Picard number  $h_{11} = 1$ : 210 smooth CYs with 69 different topologies  
intersection numbers (topological) vs. instanton numbers (symplectic)

**Picard Fuchs operators:**  $\theta = t \frac{d}{dt}$

$$\begin{aligned}
& \theta^4 + \frac{2}{29} t \theta(24\theta^3 - 198\theta^2 - 128\theta - 29) - \frac{4}{841} t^2 (44284\theta^4 + 172954\theta^3 + 248589\theta^2 + 172057\theta + 47096) \\
& - \frac{4}{841} t^3 (525708\theta^4 + 2414772\theta^3 + 4447643\theta^2 + 3839049\theta + 1275594) \\
& - \frac{8}{841} t^4 (1415624\theta^4 + 7911004\theta^3 + 17395449\theta^2 + 17396359\theta + 6496262) \\
& - \frac{16}{841} t^5 (\theta + 1)(2152040\theta^3 + 12186636\theta^2 + 24179373\theta + 16560506) \\
& - \frac{32}{841} t^6 (\theta + 1)(\theta + 2)(1912256\theta^2 + 9108540\theta + 11349571) \\
& - \frac{10496}{841} t^7 (\theta + 1)(\theta + 2)(\theta + 3)(5671\theta + 16301) - \frac{24529152}{841} t^8 (\theta + 1)(\theta + 2)(\theta + 3)(\theta + 4)
\end{aligned}$$

# Torsion in (co)homology

V. Batyrev & M.K. [math.AG/0505432]

- **Mirror symmetry** exchanges  $h_{21}$  **complex structure** and  $h_{11}$  **Kähler** moduli
- What about integral cohomology?
- Universal coefficient theorem

$$\mathrm{tor}(H_i(X, \mathbb{Z})) \cong \mathrm{tor}(H^{i+1}(X, \mathbb{Z}))^*$$

- Poincaré duality:

$$\mathrm{tor}(H_i(X, \mathbb{Z})) \cong \mathrm{tor}(H^{2d-i}(X, \mathbb{Z}))$$

- 3-folds  $\Rightarrow$  two independent torsion groups:

$$\mathrm{tor} H_1(X, \mathbb{Z}) \cong \mathrm{tor} H^2(X, \mathbb{Z})^* \text{ (related to fundamental group)}$$

$$\mathrm{tor} H_2(X, \mathbb{Z}) \cong \mathrm{tor} H^3(X, \mathbb{Z})^* \text{ (topological Brauer group)}$$

- **conjecture:** exchanged under mirror symmetry
- verified for all 473 800 776 toric Calabi–Yau hypersurfaces: 16+16 cases with torsion

# Torsion curves for the “Heterotic standard model”

with V. Braun, B. Ovrut and E. Scheidegger

A (3,3) parameter example with  $\pi_1 = \mathbb{Z}_3 \times \mathbb{Z}_3$

- Schoen: Fiber product of two elliptic fibers over  $\mathbb{P}^1$
- $\mathbb{Z}_3$  phase (toric)  $\times$   $\mathbb{Z}_3$  permutation (non-toric)
- Direct **curve counting** in  $A$  model limited to **base-degree 1**
- (permutation extension of) Batyrev–Borisov mirror  
 $\Rightarrow$   **$B$ -model (MS) calculation of instantons sum**
- **Surprise: Self-mirror**  $\Rightarrow \mathbb{Z}_3 \times \mathbb{Z}_3$  torsion curves
- tools for computation of torsion curves (spectral sequences)
- Application: **torsion curves cannot be holomorphic  $\rightarrow$  SUSY breaking moduli stabilization (vs. Beasley–Witten): single curve in homology class!**