

Excited hadrons from lattice QCD

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 - Lattice necessities
 - Extraction of excited states
 - Angular momentum on the lattice
 - Suitable interpolating fields
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 - Scalar mesons
 - Excited charmonium

Standard model

Fermions			
quarks	u	c	t
	d	s	b
leptons	e^-	μ^-	τ^-
	ν_e	ν_μ	ν_τ

Bosons	
electromagn. force	γ
weak force	W^+, W^-, Z
strong force	gluons

- In the following: Theory of strong interaction (QCD)
- More specifically: Hadron spectroscopy

Mesons: $\bar{q}q$ states in the quark model

Baryons: qqq states in the quark model

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Mesons: $\bar{q}q$ states in the quark model

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QCD - the theory of the strong interaction

- *Asymptotic freedom*: Interaction arbitrarily weak at small distances
→ Perturbation theory successful at large energies/ small distance.
- *Confinement*: We do not observe free quarks or gluons in nature

QCD action

$$S_{\text{QCD}}[\psi, \bar{\psi}, A] = \sum_{f=1}^{N_f} \int d^4x \bar{\psi}^{(f)}(x) \left(\not{D} + m^{(f)} \right) \psi^{(f)} \\ + \frac{1}{2g^2} \text{Tr}[F_{\mu\nu}(x)F_{\mu\nu}(x)]$$

$$F_{\mu\nu}(x) = \partial_\mu A_\nu(x) - \partial_\nu A_\mu(x) + i[A_\mu(x), A_\nu(x)]$$

$$\not{D} = \gamma_\mu D_\mu \quad \text{with} \quad D_\mu = \partial_\mu + iA_\mu(x)$$

Some Problems can not be attacked with perturbation theory:

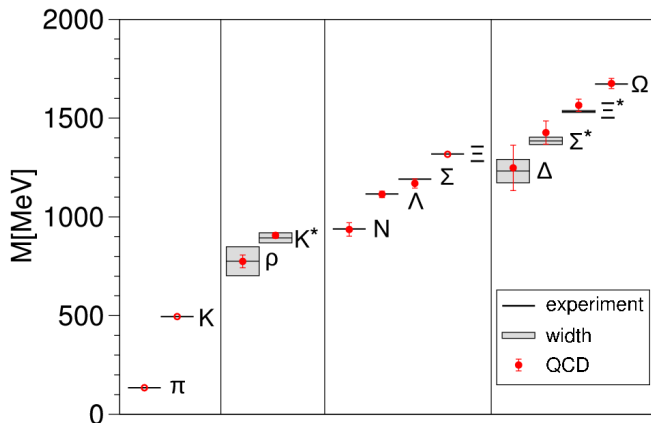
- Chiral symmetry breaking
 - Explicit: Non-zero quark masses
 - Spontaneous: The pion is a Goldstone boson
- Confinement and the low energy properties of hadrons
 - Hadron masses
 - Low energy parameters (decay constants, current quark masses, LEC of Chiral Perturbation Theory)
 - Form factors, matrix elements, structure functions

⇒ We need non-perturbative methods!

Lattice QCD is an ab-initio calculation for non-perturbative properties!

Motivation: ground state spectrum

Recent (impressive) results: Prediction of the ground state spectrum



BMW-collaboration 2008

Euclidean correlators

- Euclidean correlator of two Hilbert-space operators \hat{O}_1 and \hat{O}_2 .

$$\langle \hat{O}_2(t) \hat{O}_1(0) \rangle_T = \frac{1}{Z_T} \text{tr} \left(e^{-T\hat{H}} e^{t\hat{H}} \hat{O}_2 e^{-t\hat{H}} \hat{O}_1 \right)$$

$$T \rightarrow \infty : \quad \sum_n e^{-t\Delta E_n} \langle 0 | \hat{O}_2 | n \rangle \langle n | \hat{O}_1 | 0 \rangle$$

$$\text{with } \Delta E_n = E_n - E_0$$

- Can also be expressed as a Euclidean path integral

$$\langle \hat{O}_2(t) \hat{O}_1(0) \rangle_T = \frac{1}{Z_T} \int \mathcal{D}[\psi, \bar{\psi}, U] e^{-S_E} O_2[\psi, \bar{\psi}, U] O_1[\psi, \bar{\psi}, U],$$

$$Z = \int \mathcal{D}[\psi, \bar{\psi}, U] e^{-S_E}.$$

- No field operators appear on the right.
- "Simple" integral over the classical Euclidean action
- Can be evaluated with an (importance sampling) Markov chain Monte Carlo

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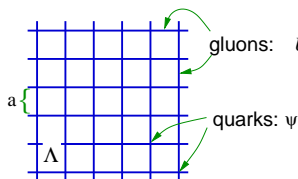
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Regularization of QCD by a 4-d Euclidean space-time lattice. (Kenneth Wilson 1974)



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- Lattice $\Lambda = \{ \vec{n} = (n_1, n_2, n_3, n_4) | n_i \in \{0, 1, \dots, L_i - 1\} \}$
- $\vec{x} \rightarrow a\vec{n}$ with lattice spacing a
- $\int d^4x \dots \rightarrow a^4 \sum_{n \in \Lambda} \dots$
- $D_\mu \psi(\vec{x})$ suitable covariant lattice derivative

The Wilson gauge action

- Required: gauge invariance of S_G
- Gauge invariant quantity: Trace over any closed loop \mathcal{L}

$$L[U] = \text{tr} \left[\prod_{(n,\mu) \in \mathcal{L}} U_\mu(n) \right]$$

Simplest possible such loop: **plaquette**

- $U_{\mu\nu}(n) = U_\mu(n)U_\nu(n + \hat{\mu})$ [scale=0.3]plaquette.eps
 $\cdot U_{-\mu}(n + \hat{\mu} + \hat{\nu})U_{-\nu}(n + \hat{\nu})$
- Wilson gauge action: sum over all plaquettes

$$S_G[U] = \frac{2}{g^2} \sum_{n \in \Lambda} \sum_{\mu < \nu} \text{ReTr}(1 - U_{\mu\nu}(n))$$

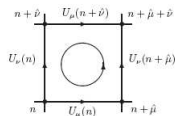
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The Wilson fermion action

- Naive fermion action contains **doublers**
Quark propagator has additional unphysical poles
- The doublers can be removed by adding a **Wilson term** to the lattice Dirac operator

$$D^{(f)}(n|m)_{ab}^{\alpha\beta} = -\frac{1}{2a} \sum_{\mu=\pm 1}^{\pm 4} (1 - \gamma_{\mu})_{\alpha\beta} U_{\mu}(n)_{ab} \delta_{n+\hat{\mu},m} + \left(m^{(f)} + \frac{4}{a} \right) \delta_{\alpha\beta} \delta_{ab} \delta_{mn}$$

with $\gamma_{-\mu} = -\gamma_{\mu}$

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Chiral symmetry on the lattice

- Continuum chiral symmetry $\{D, \gamma_5\} = 0$
- Lattice implementations of above equation contain doublers (Nielsen-Ninomiya theorem)
- Ginsparg-Wilson relation:

$$D\gamma_5 + \gamma_5 D = aD\gamma_5 D$$

→ Lattice version of chiral symmetry

- **Ginsparg-Wilson fermions:** Fermions with full chiral symmetry.
- Construction of an approximate solution → talk by M. Limmer 2 weeks ago.

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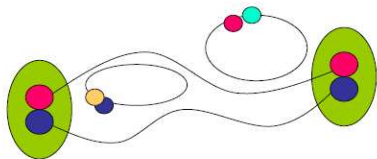
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Monte-Carlo simulation of fermions

“Full QCD”:

$$\begin{aligned} C(t) &\propto \int \mathcal{D}[U] \mathcal{D}[\psi, \bar{\psi}] e^{-S_G[U] - \bar{\psi} D[U] \psi} N(t) \bar{N}(0) \\ &= \int \mathcal{D}[U] e^{-S_G[U]} (\det D_u \det D_d \dots) \\ &\quad \times \left[D_u^{-1} D_d^{-1} \dots + \dots \right] \end{aligned}$$

- Set $\det D \equiv 1$ (no dynamical fermion vacuum, i.e. no sea quarks)
- Gauge field vacuum is fully dynamical (Monte Carlo)
- Consider only the valence quarks
- Hadron correlation functions are built from the quark propagators

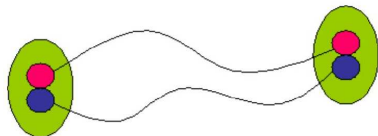


Monte-Carlo simulation of fermions

Quenched approximation:

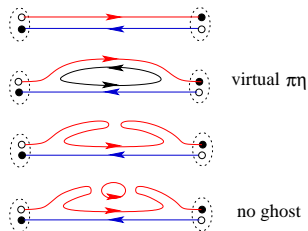
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Quenched versus full QCD

- quenched approximation is unphysical; motivated by the lack of computing power
- quenched artifacts (“ghosts”) from hairpin diagrams
Example (a_0 channel):

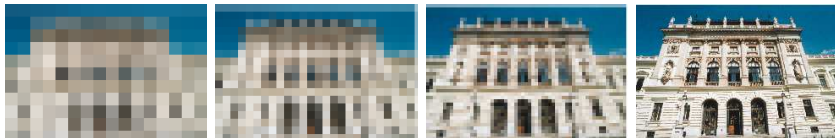


- seems to work surprisingly well for most ground states
- Scattering states are (in some cases) not present

Extrapolations: Continuum limit

Continuum limit: $a(g, m) \rightarrow 0$

- Lattice artifacts should become small!
- Different lattice actions should lead to same result in the continuum limit!
- Need simulations at multiple different lattice spacings
- Constructions of *improved actions* helps



Thermodynamic limit: $L \rightarrow \infty$ ($L \cdot a = \text{const.}$)

- Hadron physics in a box of a few fm \rightarrow finite volume effects
- Need relatively large boxes for excited states ($am_\pi L \geq 4$)
- Might need even larger boxes for axial charge, ...
- Finite volume effects can be utilized to identify scattering states/resonances!

Extrapolations: Chiral extrapolation

Chiral limit: $m \rightarrow m_0$ ($M_\pi \rightarrow M_{\pi,exp}$)

- Physical u, d quark masses small \rightarrow Simulation very expensive!
- Chiral Perturbation Theory (χ PT) \leftrightarrow Lattice QCD
- We want to understand chiral symmetry breaking!
- What about excited states?

The problem with excited states

$$\langle \hat{O}_2(t) \hat{O}_1(0) \rangle_T \propto \sum_n e^{-tE_n} \langle 0 | \hat{O}_2 | n \rangle \langle n | \hat{O}_1 | 0 \rangle$$

- The whole tower of states contributes
- Ground state is dominant at large t
- Excited states appear as sub-leading exponentials
- Fit to several exponentials leads to poor results/ is unstable

- *Bayesian analysis* (stepwise reduction of exponential with biased estimators) Minimize

$$F = \chi^2 + \lambda \phi,$$

where ϕ is a stabilizing function of the fit parameters (prior).

Used by Mathur et al. (05,06), Lee et al (03), Juge et al. (06), Zanotti et al. (03), Melnichouk et al.(03)

- Reconstruction of spectral density with *maximum entropy method*
Used by Sasaki et al. (05)
- *Variational analysis*
Used by Burch et al. (03-06), Basak et al. (05, 06), ...
- *Evolutionary algorithms*
Used by Petry et al.(07)

Variational method (C.Michael; Lüscher and Wolff)

Matrix of correlators projected to fixed momentum (will assume 0)

$$C(t)_{ij} = \sum_n e^{-tE_n} \langle 0 | O_i | n \rangle \langle n | O_j^\dagger | 0 \rangle$$

Solve the generalized eigenvalue problem:

$$C(t) \vec{\psi}^{(k)} = \lambda^{(k)}(t) C(t_0) \vec{\psi}^{(k)}$$
$$\lambda^{(k)}(t) \propto e^{-tE_k} \left(1 + \mathcal{O} \left(e^{-t\Delta E_k} \right) \right)$$

At large time separation: only a single mass in each eigenvalue.
Eigenvectors can serve as a fingerprint.

- Interesting observation for $t \leq 2t_0$

$$\Delta M_k \equiv M_{N+1} - M_k$$

Blossier et al. [arXiv: 0808.1017]

- Can also determine couplings:

$$C(t) = \sum_{n=1}^{\infty} v_i^{(n)} v_i^{(n)*} e^{-tE^{(n)}} \quad \text{with} \quad v_i^{(n)} = \langle 0 | O_i | H^{(n)} \rangle$$

$$R(t)_i^{(k)} = \frac{|\sum_j C(t)_{ij} \psi_j^{(k)}|^2}{\sum_k \sum_l \psi_k^{(k)*} C(t)_{kl} \psi_l^{(k)}} \approx v_i^{(k)} v_i^{(k)*} e^{-tE^{(k)}}$$

Burch et al. [arXiv: 0809.1103]

Angular momentum (mesons)

- *Reminder:* No unique spin assignment on the lattice.
Five irreducible representations:

Irrep of O	J	Spinors in irrep
A_1	0,4,...	$1, \gamma_t, \gamma_5, \gamma_t \gamma_5$
A_2	3,6,...	
E	2,4,5,...	
T_1	1,3,4,5,...	$\gamma_i, \gamma_t \gamma_i, \gamma_5 \gamma_i, \gamma_t \gamma_5 \gamma_i$
T_2	2,3,4,5,...	

- Classification of interpolator basis by representations
- Identify spin by degeneracies in various representations

Approach I: displaced quarks

- Quarks with a **relative displacement** connected by **paths**
- Take combinations of such paths belonging to a definite representation with a definite PC
- Can use **straight**, **L-shaped**, **U-shaped** or **plaquette** type of paths for mesons
C. Michael, Lacock et al., Trinlat; Petry et al.
- Same approach is used by LHPC collaboration for baryons
Basak et al., LHPC Collaboration, . . .

Approach II: Smeared quarks

- **Smearing of quarks** from a single point to create **extended objects**.
- Classify those smearings by representations and *PC*.
- Charm sector: Liao and Manke, Dudek et al., ...
Light sector: Burch et al., Gattringer et al., ...
- For both approaches: Classify by operator \otimes path/smearing.
Example:

$$T_1 \otimes T_1 = A_1 \oplus E \oplus T_1 \oplus T_2$$

Quark sources

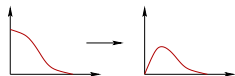
- Jacobi smeared quark sources, e.g., $u_s \equiv (S u)_x$

$$S = M S_0 \quad \text{with} \quad M = \sum_{n=0}^N \kappa^n H^n$$

$$H(\vec{n}, \vec{m}) = \sum_{j=1}^3 \left(U_j(\vec{n}, 0) \delta(\vec{n} + \hat{j}, \vec{m}) + U_j(\vec{n} - \hat{j}, 0)^\dagger \delta(\vec{n} - \hat{j}, \vec{m}) \right).$$

- Fewer quark propagators
- Combination allows nodes in the interpolating operators
- Derivative quark sources W_{d_i} :

$$D_i(\vec{x}, \vec{y}) = U_i(\vec{x}, 0) \delta(\vec{x} + \hat{i}, \vec{y}) - U_i(\vec{x} - \hat{i}, 0)^\dagger \delta(\vec{x} - \hat{i}, \vec{y}),$$
$$W_{d_i} = D_i S_w.$$

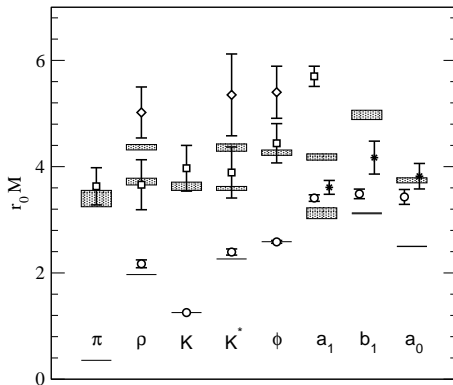


Some things people work on...

- Light mesons (Burch et al., Gattringer et al.; LHP Collaboration; Petry et al., Kentucky group, . . .)
- Baryons (Burch et al., Basak et al., LHP Collaboration; Mathur et al.; Lasscock et al., . . .)
- Charmonium (Liao and Manke, Dudek et al., Bali and Ehmman, . . .)
- Heavy-light mesons (Burch et al.; Sommer et al., . . .)
- Tetraquarks (Kentucky group; Prelovsek et al., . . .)

Mesons from quenched QCD

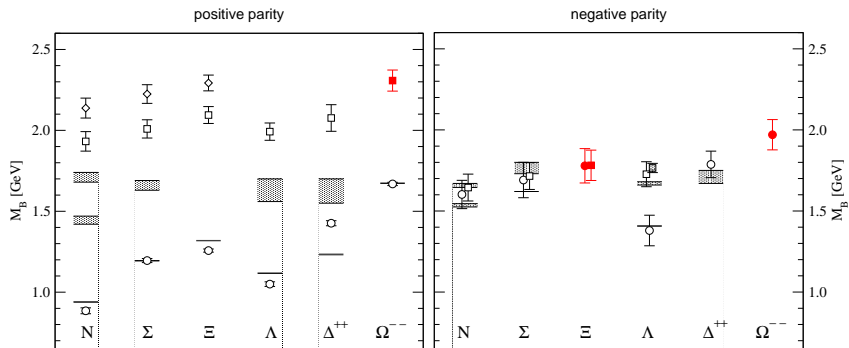
(Naive) chiral extrapolations: Mesons



Burch et al., PRD 73 (2006) 094505

Baryons from quenched QCD

(Naive) chiral extrapolations: Baryons



Burch et al., PRD 74 (2006) 014504

Mesons with derivative sources: Pion channel

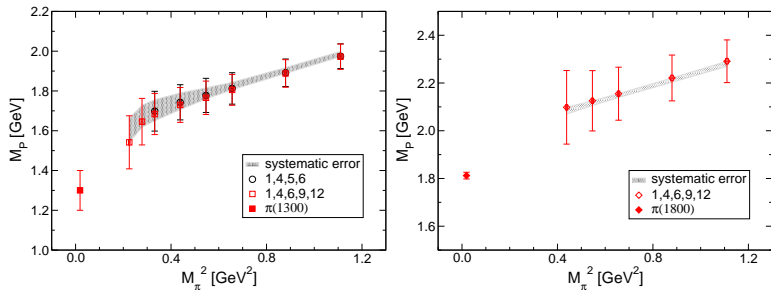


Figure: 1st and 2nd excitation of π

Gattringer et al., PRD 78 (2008) 034501

Excited pions II

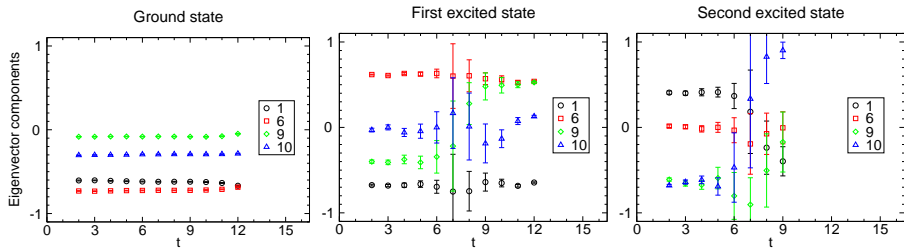
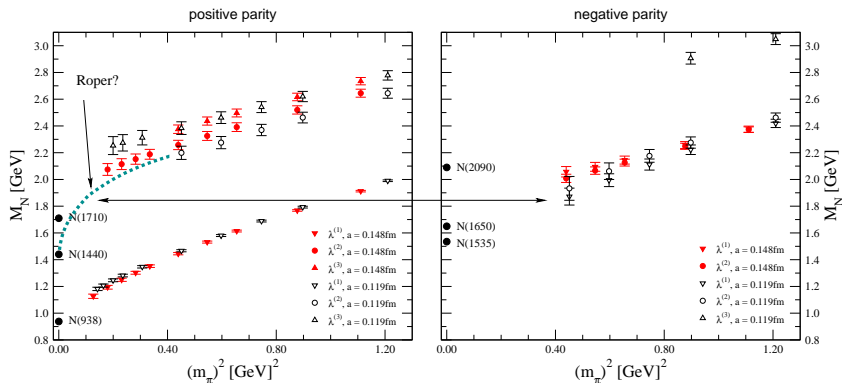


Figure: Eigenvector components for ground state and lowest excitations

Gattringer et al., PRD 78 (2008) 034501

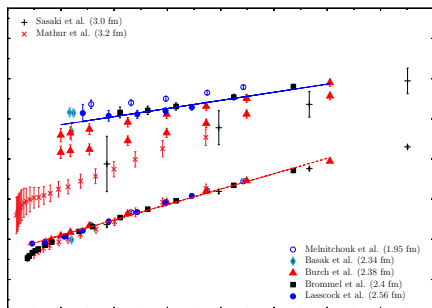
The Roper puzzle I



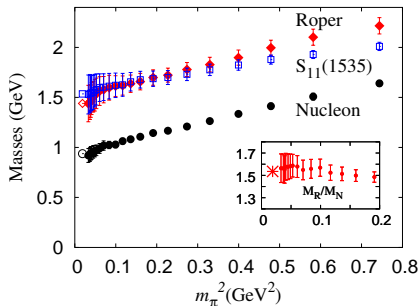
Burch et al., PRD 74 (2006) 014504

Level crossing (+--+) to (++)-

The Roper puzzle II



From Lasscock et al., PRD 76 (2007) 054510

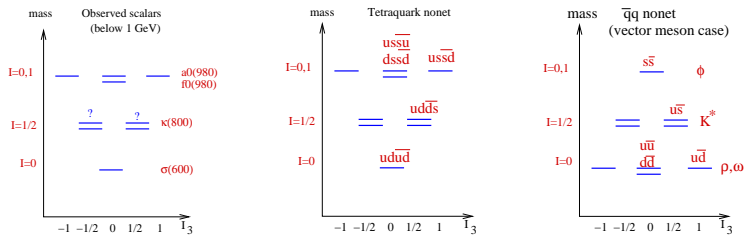


From Mathur et al., Phys. Lett. B 605 137 (2005)

Origin of this discrepancy is still unclear!

The scalar meson puzzle

- Low lying scalars could be **tetraquark states**



- quark models would place $\bar{q}q$ with $L = 1$ above 1GeV
- $m_\kappa < m_{a_0}$ hard to reconcile with $\bar{u}s$ and $\bar{u}d$
- $a_0(980)$ couples well with $K\bar{K}$

Quenched: $a_0(980)$ and $a_0(1450)$

Mesons with derivative sources: Isovector Scalar $1 0^{++}$

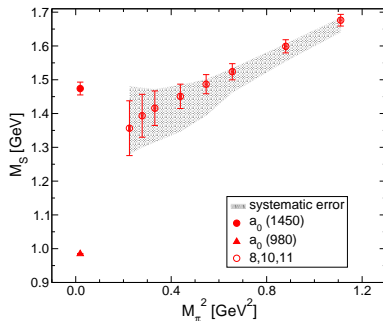
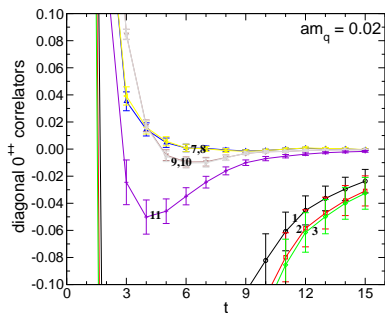


Figure: Diagonal correlators and ground state in the 0^{++} channel

Gattringer et al., PRD 8 (2008) 034501

Other quenched $\bar{q}q$ results compatible with $m_{a_0} \approx 1.45\text{GeV}$

Full QCD?

Full QCD: a_0 revisited

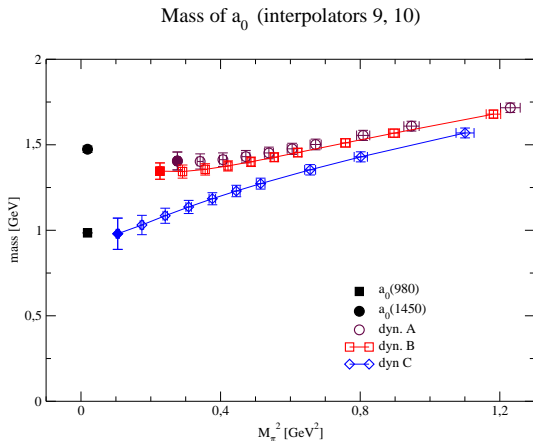


Figure: a_0 from one possible set of interpolators

Do we see a level crossing here ($\pi\eta$ -channel?)

The role of scattering states

- Contributions from scattering states:

$$C(t) = \frac{1}{Z} \left(|\langle 0 | \mathcal{O} | P_1 P_2 \rangle|^2 e^{-E_{P_1 P_2} t} + |\langle P_1^\dagger P_2^\dagger | \mathcal{O} | 0 \rangle|^2 e^{-E_{P_1 P_2} (T-t)} \right. \\ \left. + |\langle P_1^\dagger | \mathcal{O} | P_2 \rangle|^2 e^{-E_{P_1} (T-t)} e^{-E_{P_2} t} + |\langle P_2^\dagger | \mathcal{O} | P_1 \rangle|^2 e^{-E_{P_2} (T-t)} e^{-E_{P_1} t} \right)$$

Fit form will get more complicated!

- Multiple volumes useful/needed to identify scattering states (via volume dependence of spectral weights)
- Different choices of boundary conditions might simplify the problem
- Similar terms occur for the negative parity nucleon.

The role of scattering states

- Contributions from scattering states:

$$C(t) = \frac{1}{Z} \left(|\langle 0 | \mathcal{O} | P_1 P_2 \rangle|^2 e^{-E_{P_1 P_2} t} + |\langle P_1^\dagger P_2^\dagger | \mathcal{O} | 0 \rangle|^2 e^{-E_{P_1 P_2} (T-t)} \right. \\ \left. + |\langle P_1^\dagger | \mathcal{O} | P_2 \rangle|^2 e^{-E_{P_1} (T-t)} e^{-E_{P_2} t} + |\langle P_2^\dagger | \mathcal{O} | P_1 \rangle|^2 e^{-E_{P_2} (T-t)} e^{-E_{P_1} t} \right)$$

Fit form will get more complicated!

- Multiple volumes useful/needed to identify scattering states (via volume dependence of spectral weights)
- Different choices of boundary conditions might simplify the problem
- Similar terms occur for the negative parity nucleon.

Tetraquarks in the scalar channel

Tetraquarks with a diquark - anti-diquark structure

$$[qQ]_a \equiv \epsilon_{abc} [q_b^T C \gamma_5 Q_c - Q_b^T C \gamma_5 q_c]$$
$$[\bar{q}\bar{Q}]_a \equiv \epsilon_{abc} [\bar{q}_b C \gamma_5 \bar{Q}_c^T - \bar{Q}_b C \gamma_5 \bar{q}_c^T],$$

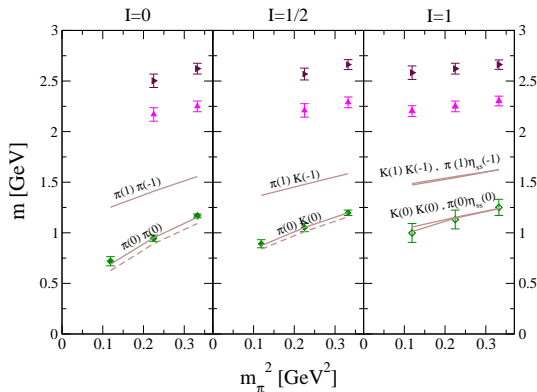
We simulate

$$\mathcal{O}^{I=0} = [ud][\bar{u}\bar{d}], \quad \mathcal{O}^{I=1/2} = [ud][\bar{d}\bar{s}], \quad \mathcal{O}^{I=1} = [us][\bar{d}\bar{s}]$$

with different source/sink smearings and use the variational method.

S.Prelovsek and D.M., arXiv:0810.1759

Scalar tetraquarks - results



Main conclusion: No evidence of low lying scalar tetraquarks for M_π in the range 344 ... 576 MeV

S.Prelovsek and D.M., arXiv:0810.1759

Excited charmonium

Method: Variational method with a large basis of standard and hybrid interpolators

Interesting states:

- 1^{-+} and 2^{+-} exotics
- X(3872) probably 1^{++} ; first excitation
- Z(3920) 2^{++} ; first excitation
- Y(4260) 1^{--} ; 7th+ state in that representation? tetraquark?

So far (on the lattice):

- Quenched study without continuum extrapolation (Dudek et al.)
- Ongoing $N_F = 2$ study on QCDSF lattices (Bali, Ehmman)
- Results from the CLQCD Collaboration claiming identification of the X(3872)
- Some questionable lattice results claiming identification of the Y(4260) as either a hybrid meson or a tetraquark.

Interpolating fields: Charmonium

$$\vec{D}_i$$

$$\mathbb{B}_i = \epsilon_{ijk} \vec{D}_j \vec{D}_k = -\frac{i}{2} \epsilon_{ijk} F^{jk}$$

$$\mathbb{D}_i = |\epsilon_{ijk}| \vec{D}_j \vec{D}_k$$

+ Gaussian and point sources/sinks

- (Anti-)symmetrization $\overleftrightarrow{D}_i = \overleftarrow{D}_i - \overrightarrow{D}_i$ needed for non-vanishing momentum
- Hybrid operators can have **exotic quantum numbers**:

$$0^{--}, 0^{+-}, 1^{-+}, 2^{+-}$$

$$3^{-+} \quad \text{can be reached by} \quad \mathbb{A} = |\epsilon_{ijk}| D_i D_j D_k$$

- Corresponds to paths in $T_1^{--}, T_1^{+-}, T_2^{++}, A_2^{--}$ representations
- Enables us to reach all representations

Summary

- Excited states are difficult on the lattice
- Variational method can serve to reliably extract excited states
- Extrapolations will have to be controlled
- Resonances and scattering states make the situation even more difficult