

# Chiral perturbation theory for lattice practitioners

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# Challenge: Solving QCD ab initio

## high energy frontier

LHC observable

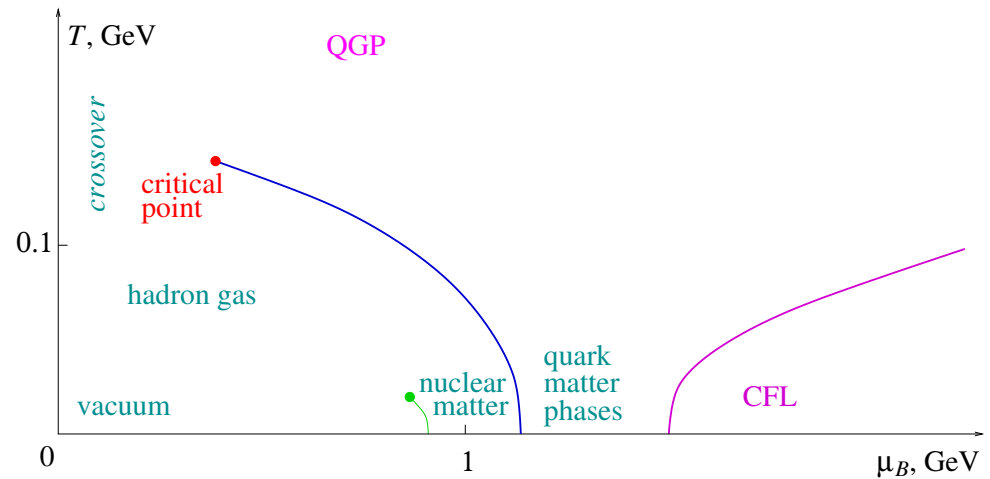


$f_B, f_+^{D \rightarrow K}(q^2), B_K, \dots$



SUSY, Technicolor, LittleHiggs, ...

## high temperature/density frontier



On either avenue, difficulties associated with strong interactions:

$$\mathcal{L}_{\text{QCD}} \Big|_{\text{mink}} = -\frac{1}{2} \text{Tr}(F_{\mu\nu} F_{\mu\nu}) + \sum_{i=1}^{N_f} \bar{q}^{(i)} (i\not{D} - m^{(i)}) q^{(i)} \quad [\text{GFL}]$$

How can one quantitatively master a theory with two different faces:

- fundamental degrees of freedom (quarks, gluons)
- collective/effective degrees of freedom ( $\pi, K, \eta, \dots$ )

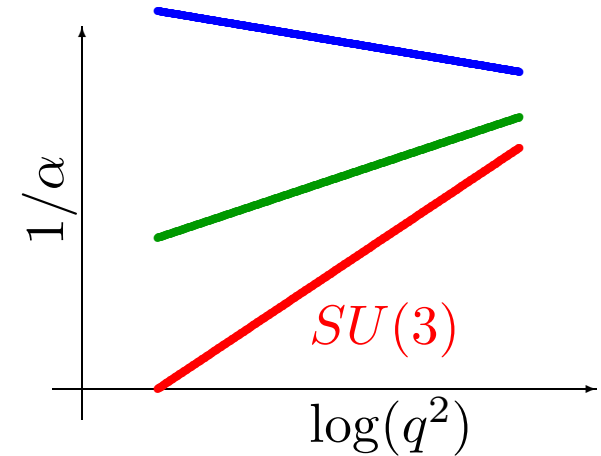
# Why to combine LQCD and XPT

matter:

|         |           |            |
|---------|-----------|------------|
| $\nu_e$ | $\nu_\mu$ | $\nu_\tau$ |
| $e$     | $\mu$     | $\tau$     |
| $u$     | $c$       | $t$        |
| $d$     | $s$       | $b$        |

forces:

$$\underbrace{U(1) \times SU(2)}_{\text{EW}} \times \underbrace{SU(3)}_{\text{QCD}}$$



The “two faces” of QCD are associated with

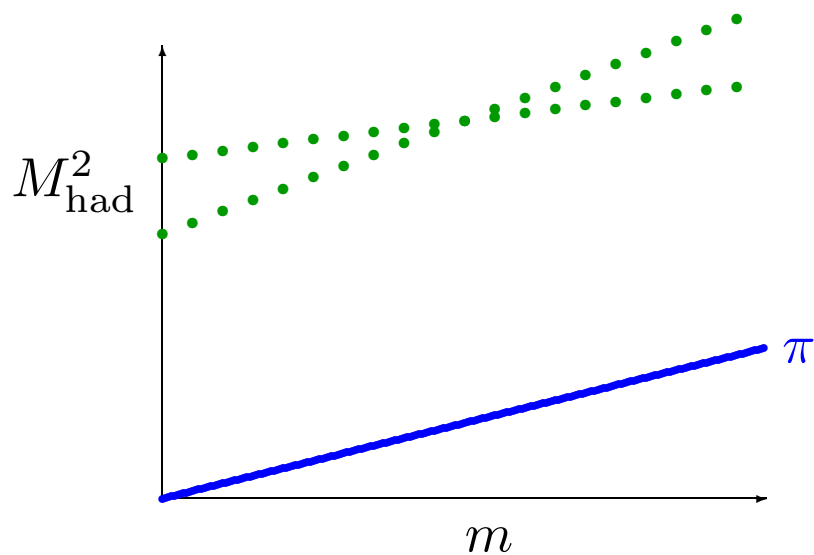
- asymptotic freedom at  $q^2 \rightarrow \infty$  (“weak coupling regime” w.r.t.  $g^2$ )
- confinement & chiral symmetry breaking at  $q^2 \rightarrow 0$  (“strong coupling regime”)

$$\mathcal{L}_{\text{QCD}} \Big|_{\text{eucl}} = \frac{1}{2} \text{Tr}(F_{\mu\nu} F_{\mu\nu}) + \sum_{i=1}^{N_f} \bar{q}^{(i)} (\not{D} + m^{(i)}) q^{(i)}$$

Use  $\left\{ \begin{array}{l} \text{PQCD at high energy/momentum} \\ \text{XPT at low energy/momentum} \end{array} \right\}$  and LQCD throughout

## Characteristic properties of QCD at low energy

Dominant degrees of freedom are “pions” ( $\pi, K, \eta, \dots$ ) with peculiar properties:



A Feynman diagram representing a two-pion interaction. It consists of two vertices connected by two lines. Each vertex is labeled with a partial derivative symbol  $\partial$ . The diagram is associated with the expression  $\sim \partial\pi^a \partial\pi^b \pi^c \pi^d$ .

A Feynman diagram representing a four-pion interaction. It consists of a central vertex connected to four lines. Each vertex is labeled with a partial derivative symbol  $\partial$ . The diagram is associated with the expression  $\sim \partial\pi \partial\pi \pi\pi\pi\pi$ .

$\Rightarrow$  pion mass proportional to the square-root of quark mass

$$M_\pi^2 = B(m^{(1)} + m^{(2)}) \quad [\text{GOR}]$$

$\Rightarrow$  quarks interact softly at low energy – does this allow us to use weak-coupling techniques in strong-coupling regime of QCD ?

## Symmetries of QCD Lagrangian

Consider  $\mathcal{L}_{\text{QCD}}$  in chiral limit [ $m^{(i)} \rightarrow 0 \forall i$ ] and introduce  $q_{R,L}^{(i)} = \frac{1}{2}(1 \pm \gamma_5)q^{(i)}$ :

$$\sum_{i=1}^{N_f} \bar{q}^{(i)} \left( \gamma_\mu \partial_\mu + ig \gamma_\mu A_\mu^a \frac{\lambda^a}{2} \right) q^{(i)} = \sum_{i=1}^{N_f} \bar{q}_R^{(i)} (\dots) q_R + \bar{q}_L^{(i)} (\dots) q_L = q_R(\dots) q_R + q_L(\dots) q_L$$

This  $\mathcal{L}_{m=0}$  with  $q = (u, d, [s])^T$  has the following global symmetries ( $T^a = \frac{\sigma^a}{2}, \frac{\lambda^a}{2}, \dots$ ):

$$U(1)_V : \quad q(x) \longrightarrow e^{i\alpha} q(x)$$

$$V_\mu^0 \equiv \bar{q} \gamma_\mu q, \quad \partial_\mu V_\mu^0 = 0 \longrightarrow \text{baryon number conservation}$$

$$U(1)_A : \quad q(x) \longrightarrow e^{i\beta \gamma_5} q(x)$$

$$A_\mu^0 \equiv \bar{q} \gamma_\mu \gamma_5 q, \quad \partial_\mu A_\mu^0 = N_f \frac{g^2}{16\pi^2} \text{Tr}(G_{\mu\nu} \tilde{G}_{\mu\nu})$$

$$SU(N_f)_V : \quad q(x) \longrightarrow e^{i\alpha T^a} q(x)$$

$$V_\mu^a \equiv \bar{q} \gamma_\mu T^a q, \quad \partial_\mu V_\mu^a = 0$$

$$SU(N_f)_A : \quad q(x) \longrightarrow e^{i\beta \gamma_5 T^a} q(x)$$

$$A_\mu^a \equiv \bar{q} \gamma_\mu \gamma_5 T^a q, \quad \partial_\mu A_\mu^a = 0$$

} chiral symmetry group  $G$

$\implies$  gluons are flavor blind and do not change chirality of quark, quark mass does

## Establishing the link: Linear sigma model

Toy model of SSB with 4 real fields  $\vec{\phi} = (\phi^0, \phi^1, \phi^2, \phi^3)$ , condensate  $v$ , quark mass  $h$ :

$$\mathcal{L} = \frac{1}{2} \partial_\mu \vec{\phi} \partial_\mu \vec{\phi} - \frac{g}{4} (\vec{\phi}^2 - v^2)^2 + h \phi_0$$

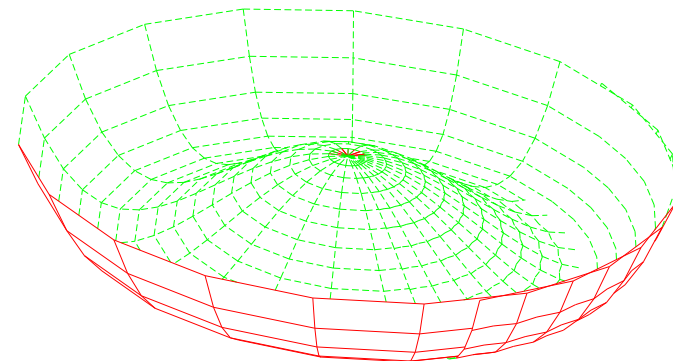
The  $O(4)$  symmetry is broken spontaneously for  $v^2 > 0$  and explicitly for  $h > 0$ . There are 3 ( $h > 0$ ) or 6 ( $h = 0$ ) conserved Noether currents

$$V_\mu^a = \epsilon^{abc} \phi^b \partial_\mu \phi^c, \quad A_\mu^a = \frac{1}{2} \partial_\mu \phi^0 \phi^a - \frac{1}{2} \phi^0 \partial_\mu \phi^a \quad (a, b, c = 1, 2, 3).$$

With  $v^2 > 0, h > 0$  the minimum of the potential is at  $\phi^0 = v + \frac{h}{2gv^2}, \vec{\phi} = \vec{0}$ .

Introduce  $\phi^0 = v + \frac{h}{2gv^2} + \sigma, (\phi^1, \phi^2, \phi^3) = \vec{\pi}$  and rewrite Lagrangian as

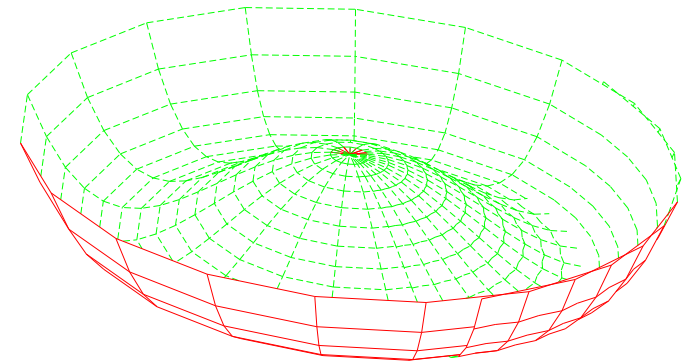
$$\begin{aligned} \mathcal{L} &= \frac{1}{2} \partial_\mu \sigma \partial_\mu \sigma - \frac{1}{2} [2gv^2 + \frac{3h}{v}] \sigma^2 \\ &+ \frac{1}{2} \partial_\mu \pi \partial_\mu \pi - \frac{h}{2v} \pi^2 \\ &- [gv + \frac{h}{2v^2}] \sigma (\sigma^2 + \pi^2) - \frac{g}{4} (\sigma^2 + \pi^2)^2 - hv \end{aligned}$$



- $M_\pi^2 = \frac{h}{v}$ : linear in quark mass, hence  $M_\pi^2 = 0$  at  $h = 0$
- $M_\sigma^2 = 2gv^2 + \frac{3h}{v}$ : nonvanishing at  $h = 0$ , three-fold slope in  $h$
- $\pi^2\sigma$ ,  $\pi^2\sigma^2$ ,  $\pi^4$  vertices even for  $h = 0$  is misleading

Introduce  $\begin{pmatrix} \phi^0 + \phi^3 & \phi^1 + i\phi^2 \\ \phi^1 - i\phi^2 & \phi^0 - \phi^3 \end{pmatrix} = (v + \frac{h}{2gv^2} + \sigma) e^{i\vec{\pi}\vec{\lambda}/(2v)}$  and rewrite Lagrangian as

$$\begin{aligned} \mathcal{L} &= \frac{1}{2}\partial_\mu\sigma\partial_\mu\sigma - \frac{1}{2}[2gv^2 + \frac{3h}{v}]\sigma^2 \\ &+ \frac{1}{2}\partial_\mu\pi\partial_\mu\pi - \frac{h}{2v}\pi^2 \\ &+ \frac{1}{2v^2}(\sigma^2 + 2v\sigma)\partial_\mu\vec{\pi}\partial_\mu\vec{\pi} - \frac{g}{4}(\sigma^4 + 2v\sigma^3) + \dots \end{aligned}$$



- $M_\pi^2$  and  $M_\sigma^2$  as before
- $\partial\pi\partial\pi\sigma^n$  vertices instead of  $\pi^2\sigma^n$ , i.e. derivative couplings
- In the presence of SSB, things are only simple if we choose adequate coordinates
- Pion field  $U = \exp(i\pi^a T^a / F)$  describes local fluctuation of condensate

# Theoretical framework for SSB

Goal: understand Goldstone theorem with its implications for QCD

## Noether theorem

Relation between symmetry and conservation law (version for global internal symm.):

$\mathcal{L} = \mathcal{L}(\phi_i, \partial_\mu \phi_i)$  invariant under symmetry group  $G$ :

finite trafo:  $\phi_i(x) \longrightarrow \phi'_i(x) = (e^{i\epsilon^a T^a})_{ij} \phi_j(x)$

infinitesimal:  $\phi_i(x) \longrightarrow \phi'_i(x) = \phi_i(x) + i\epsilon^a T^a_{ij} \phi_j(x)$

$[T^a, T^b] = i f^{abc} T^c$  defines LA of  $G$  with structure constants  $f^{abc}$

$$\partial_\mu J_\mu^a = 0 \text{ with } J_\mu^a = -i \frac{\delta L}{\delta(\partial_\mu \phi_i)} T^a_{ij} \phi_j$$

Noether theorem (proof uses EOM)

$$\frac{d}{dt} Q^a = 0 \text{ with } Q^a(t) = \int_{t \text{ fixed}} J_0^a(\vec{x}) d^3\vec{x}$$

Noether charge is conserved

$$[Q^a, Q^b] = i f^{abc} Q^c$$

Noether charges satisfy LA of  $G$  (they are the generators)

## Current algebra

Extension to case with additional (small) explicit symmetry breaking

$\mathcal{L} = \mathcal{L}_0 + \mathcal{L}_1$  with  $\mathcal{L}_0$  invariant under  $G$  and  $\mathcal{L}_1$  not (e.g. quark mass term in QCD)  
 $Q^a(t) \equiv \int J_0^a(t, \vec{x}) d^3\vec{x} = -i \int \frac{\delta L}{\delta(\partial_0 \phi_i)} T_{ij}^a \phi_j d^3\vec{x}$

$$[Q^a(t), Q^b(t)] = if^{abc}Q^c(t)$$

Charge/current algebra

In the presence of symmetry breaking terms, similar equal-time commutation relations hold between  $t$ -dependent charges; they still serve as generators of the broken symm.!

## Symmetry fates/realizations

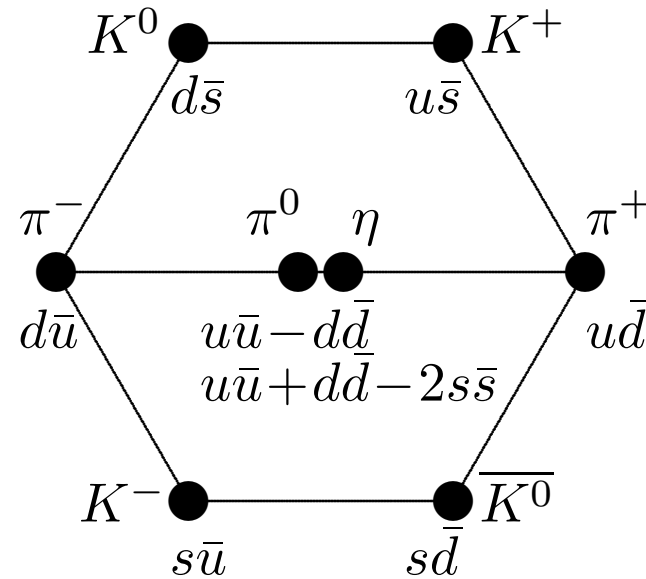
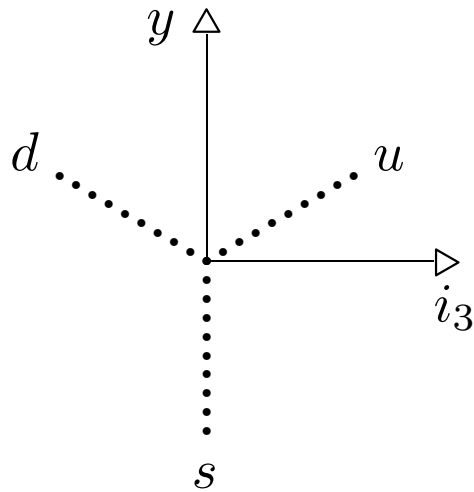
Let  $Q$  be a conserved charge:  $[Q, P_\mu] = 0$ ,  $J_0(\vec{x}) = e^{i\vec{P}\vec{x}} J_0(0) e^{-i\vec{P}\vec{x}}$ . Then:

$$\begin{aligned} \|Q|0\rangle\|^2 &= \langle 0|QQ|0\rangle = \int \langle 0|QJ_0(\vec{x})|0\rangle d^3\vec{x} \\ &= \int \langle 0|Qe^{i\vec{P}\vec{x}} J_0(\vec{0}) e^{-i\vec{P}\vec{x}}|0\rangle d^3\vec{x} = \int \langle 0|QJ_0(\vec{0})|0\rangle d^3\vec{x} = \begin{cases} 0 \\ \infty \end{cases} \end{aligned}$$

Wigner-Weyl (WW):  $Q|0\rangle = 0$   
explicitly realized symmetry  
linear representation  
degenerate multiplets

Nambu-Goldstone (NG):  $Q|0\rangle \neq 0$   
spontaneously broken symmetry  
non-linear representation  
massless Goldstone bosons appear

# Educated guess for QCD



$$[Q_L^a(t), Q_L^b(t)] = if^{abc} Q_L^c(t)$$

$$[Q_V^a(t), Q_V^b(t)] = if^{abc} Q_V^c(t)$$

$$[Q_R^a(t), Q_R^b(t)] = if^{abc} Q_R^c(t)$$

$$[Q_A^a(t), Q_A^b(t)] = if^{abc} Q_V^c(t)$$

$$[Q_L^a(t), Q_R^b(t)] = 0$$

$$[Q_V^a(t), Q_A^b(t)] = if^{abc} Q_A^c(t)$$

$$\underbrace{SU(N_f)_L \times SU(N_f)_R}_{\text{chiral group } G} = \underbrace{SU(N_f)_V}_{\text{subgroup } H} \times \underbrace{SU(N_f)_A}_{\text{coset } G/H}$$

Educated guess:  $H$  is realized à la WW  
 eightfold way /  $SU(N_f)$  pattern

$G/H$  is realized à la NG  
 $\pi, K, \eta$  light /  $N_f^2 - 1$  Goldstone bosons

## Goldstone theorem

$$\begin{aligned} G : \quad \phi_i(x) &\longrightarrow \phi'_i(x) = e^{-i\epsilon^a Q^a} \phi_i(x) e^{i\epsilon^a Q^a} \\ &= (U(g)\phi)_i = U(g)_{ij} \phi_j = (e^{i\epsilon^a T^a})_{ij} \phi_j(x) \end{aligned}$$

with  $[Q^a, Q^b] = if^{abc}Q^c$  and  $[T^a, T^b] = if^{abc}T^c$

$$e^{i\epsilon Q^k} |0\rangle = |0\rangle \text{ for } k \in \{1, \dots, \dim(H)\} \quad [\text{WW}, \rightarrow 8 \text{ } V\text{-charges}]$$

$$e^{i\epsilon Q^\ell} |0\rangle = |0\rangle \text{ for } \ell \in \{\dim(H) + 1, \dots, \dim(G)\} \quad [\text{NG}, \rightarrow 8 \text{ } A\text{-charges}]$$

- Let  $\phi$  be a field with  $\lim_{V \rightarrow \infty} \langle 0 | [Q^\ell, \phi] | 0 \rangle \neq 0$
- There is a state  $|\pi\rangle$  with  $\langle 0 | J_0^\ell(0) |\pi\rangle \langle \pi | \phi | 0 \rangle \neq 0$  and  $P^2 |\pi\rangle = 0$
- Theory has  $\dim(G/H) = \dim(G) - \dim(H)$  massless Goldstone bosons  
[QCD:  $Q^\ell$  any of the 8 generators of  $SU(3)_A$  yields 8 “pions”]  
[ $O(N) \rightarrow O(N-1)$  yields  $\frac{N(N-1)}{2} - \frac{(N-1)(N-2)}{2} = N - 1$  “pions”]

## Order parameters of SSB

Goldstone theorem: NG realization  $\iff \exists \phi_j$  with  $\langle 0 | \phi_j | 0 \rangle \neq 0$

$$[Q^a, \phi_i] = T^a_{ij} \phi_j \quad \begin{cases} \phi_i \longrightarrow e^{-i\epsilon^a Q^a} \phi_i(x) e^{i\epsilon^a Q^a} = \phi_i - i\epsilon^a [Q^a, \phi_i] + \dots \\ \phi_i \longrightarrow (e^{-i\epsilon^a T^a})_{ij} \phi_j(x) = \phi_i - i\epsilon^a T^a_{ij} \phi_j + \dots \end{cases}$$

$$\underbrace{\langle 0 | [Q^a, \phi_i] | 0 \rangle}_{=0, \neq 0} = \underbrace{T^a_{ij} \langle 0 | \phi_j | 0 \rangle}_{=0, \neq 0}$$

The difference  $= 0$  versus  $\neq 0$  corresponds to the cases  $a \rightarrow k$  (explicit symmetry) versus  $a \rightarrow \ell$  (hidden symmetry)

Order parameter  $\langle 0 | \phi_j | 0 \rangle$  transforms  $\begin{cases} \text{trivially under } H \\ \text{non-trivially under } G/H \end{cases}$

QCD: d=3:  $\langle 0 | \bar{q}q | 0 \rangle$  qualifies,  $\langle 0 | \bar{q}\gamma_5 q | 0 \rangle$  does not

d=4:  $\langle 0 | \text{Tr}(G_{\mu\nu} G_{\mu\nu}) | 0 \rangle$  not qualifying for  $SU(3)_L \times SU(3)_R \longrightarrow SU(3)_V$

d=5:  $\langle 0 | \bar{q}\sigma_{\mu\nu} G_{\mu\nu} q | 0 \rangle$  OK,  $\langle 0 | \bar{q}q\bar{q}q | 0 \rangle$  OK, ...

# Effective Lagrangian construction

Pion field  $U(x)$  parametrizes Goldstone manifold  $G/H$ ; trafo law from group theory

$$U(x) = e^{i\sqrt{2}\phi(x)/F} \quad \phi(x) = \phi^a T^a = \phi^a \frac{\lambda^a}{2} = \begin{pmatrix} \frac{\eta}{\sqrt{6}} + \frac{\pi^0}{\sqrt{2}} & \pi^+ & K^+ \\ \pi^- & \frac{\eta}{\sqrt{6}} - \frac{\pi^0}{\sqrt{2}} & K^0 \\ K^- & \overline{K^0} & -\frac{2\eta}{\sqrt{6}} \end{pmatrix}$$

$$F = 86.2 \pm 0.5 \text{ MeV}$$

$$\varphi : G \times G/H \longrightarrow G/H, (g, [n]) \longrightarrow ?, [n] = \{nh | h \in H\}$$

Decomposition  $g = n_g h_g$  is unique; action on class representative  $n$  is  $gn = n_g h_g n = n' h'$  and gives new  $n'$  in a unique manner

$$\text{QCD: } G = SU(3)_L \times SU(3) + R, H = SU(3)_V, G/H = SU(3)_A$$

$$g \equiv (V_R, V_L), h \equiv (V, V), n \equiv (U, 1), n' \equiv (U', 1)$$

$$\longrightarrow gn = (V_R, V_L)(U, 1) = (V_R U, V_L) = (V_R U V_L^\dagger, 1)(V_L, V_L) \equiv n' h'$$

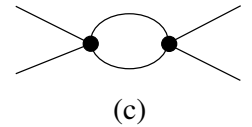
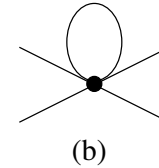
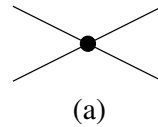
$$U \rightarrow U' = V_R U V_L^\dagger$$

$\pi$  transforms non-linearly  
 $U$  transforms bi-linearly

## Chiral Lagrangian $O(p^2)$

Goal: construct the most general Lagrangian built from  $U(x)$  and  $U(x)^\dagger$  which is consistent with all symmetries of the underlying QCD Lagrangian.

Expansion in external momentum  $p^2, p^4, \dots$ ; start with tree-level processes; unpacking  $U \rightarrow \pi$  needed



Use  $\langle \dots \rangle$  to denote trace in flavor space and  $M = \text{diag}(m_u, m_d, m_s)$  with  $m_{u,d,s} > 0$

$$\mathcal{L}^{(2)} = \frac{F^2}{4} \langle \partial_\mu U \partial_\mu U^\dagger + 2BMU + 2BMU^\dagger \rangle$$

- massless part invariant under global  $U \rightarrow V_R U$  and  $U \rightarrow UV_L^\dagger$
- massive part invariant under global  $U \rightarrow VUV^\dagger$
- expansion yields  $\mathcal{L}^{(2)} = \frac{1}{2} \partial_\mu \pi^0 \partial_\mu \pi^0 + \partial_\mu \pi^+ \partial_\mu \pi^- - Bm \pi^0 \pi^0 - 2Bm \pi^+ \pi^- + \dots$
- tree-level  $\pi$ - $\pi$ -scattering vertex is  $\frac{1}{6F^2} [\pi^a \partial_\mu \pi^a \pi^b \partial_\mu \pi^b - \pi^a \pi^a \partial_\mu \pi^b \partial_\mu \pi^b]$
- just 2 low-energy constants ( $F = \lim_{m \rightarrow 0} F_\pi$ ,  $B = \Sigma/F$ ) determine all interactions
- spurion formalism brings  $\partial_\mu \rightarrow \nabla_\mu$  and  $2BM \rightarrow \chi$  and  $F_{\mu\nu}^R, F_{\mu\nu}^L$  [external currents]

## Light quark mass ratios

$\mathcal{L}^{(2)}$  and higher order Lagrangians contain only products  $Bm_{u,d,s}$ , which are scheme- and scale-invariant quantities [RGI]; with  $m_{ud} = (m_u + m_d)/2$  [isospin limit]:

$$M_{\pi^\pm}^2 = B2m_{ud} \quad , \quad M_{\pi^0}^2 = B2m_{ud} + O\left(\frac{[m_u - m_d]^2}{m_s - m_{ud}}\right)$$

$$M_{K^\pm} = B(m_u + m_s) \quad , \quad M_{K^0} = B(m_d + m_s)$$

$$M_\eta^2 = B\left(\frac{2}{3}m_{ud} + \frac{4}{3}m_s\right) + O\left(\frac{[m_u - m_d]^2}{m_s - m_{ud}}\right)$$

This implies several well-known relations:

- $M_\pi^2 F_\pi^2 = \Sigma 2m_{ud}$  with  $\Sigma = -\langle \bar{u}u \rangle = -\langle \bar{d}d \rangle = -\langle \bar{s}s \rangle$  ( $\lim_{m \rightarrow 0}$ ) [GOR]
- $B = \frac{M_\pi^2}{2m_{ud}} = \frac{M_K^2}{m_s + m_u} = \frac{M_\eta^2}{m_s + m_d}$  [Weinberg]
- $3M_\eta^2 = 4M_K^2 - M_\pi^2$  [GellMann Okubo]

Quark mass ratios, as determined from phenomenology:

|          | $m_u/m_d$       | $m_s/m_d$      | $m_s/m_{ud}$   |
|----------|-----------------|----------------|----------------|
| $O(p^2)$ | 0.55            | 20.1           | 25.9           |
| $O(p^4)$ | $0.55 \pm 0.04$ | $18.9 \pm 0.8$ | $24.4 \pm 1.5$ |

## Chiral Lagrangian $O(p^4)$

Ordering principle is  $\partial^2 \sim m$  [or  $\sim \chi$ ], as suggested by GOR. Recipe: construct, at any order, the most general Lagrangian which is consistent with all underlying symmetries.

$$\begin{aligned}\mathcal{L}^{(4)} &= L_1 \langle \nabla_\mu U \nabla_\mu U^\dagger \rangle^2 \\ &+ L_2 \langle \nabla_\mu U \nabla_\nu U^\dagger \rangle \langle \nabla_\mu U \nabla_\nu U^\dagger \rangle \\ &+ L_3 \langle \nabla_\mu U \nabla_\mu U^\dagger \rangle \langle \nabla_\nu U \nabla_\nu U^\dagger \rangle \\ &+ L_4 \langle \nabla_\mu U \nabla_\mu U^\dagger \rangle \langle \chi^\dagger U + \chi U^\dagger \rangle \\ &+ L_5 \langle \nabla_\mu U \nabla_\mu U^\dagger (\chi^\dagger U + \chi U^\dagger) \rangle \\ &+ L_6 \langle \chi^\dagger U + \chi U^\dagger \rangle^2 + L_7 \langle \chi^\dagger U - \chi U^\dagger \rangle^2 \\ &+ L_8 \langle \chi^\dagger U \chi^\dagger U + \chi U^\dagger \chi U^\dagger \rangle \\ &- iL_9 \langle F_{\mu\nu}^L \nabla_\mu U \nabla_\nu U^\dagger + F_{\mu\nu}^R \nabla_\mu U \nabla_\nu U^\dagger \rangle \\ &+ L_{10} \langle U F_{\mu\nu}^L U^\dagger F_{\mu\nu}^R \rangle + H_1 \dots + H_2 \dots\end{aligned}$$

Do fully fledged QFT calculations with this Lagrangian [Weinberg, Gasser, Leutwyler].  $L_i$  (for  $N_f = 3$ ) or  $\ell_i$  (for  $N_f = 2$ ): Gasser-Leutwyler low-energy constants, undergo renormalization, their finite ( $\propto \varepsilon^0$ ) pieces must be determined from experiment/lattice.

## Dimensional regularization: $d = 4 - 2\varepsilon$

- (1) Unpack  $U$  to generate Feynman rules in terms of  $\pi, K, \eta$
- (2) Draw all diagrams, to given external sources, that show up at this order
- (3) Evaluate loop integrals in dimensional regularization

XPT:  $\mathcal{L} = \mathcal{L}^{(2)} + \mathcal{L}^{(4)} + \dots$  expansion in  $p^2 \sim m$

$$\Sigma_{\pi}^{\text{NLO}} = \text{[1-loop graph with a vertex from } \mathcal{L}^{(2)} \text{ [tiny dot]]} + \text{[counterterm from } \mathcal{L}^{(4)} \text{ [fat box]]}$$

*Contributions to pion self-energy at NLO: 1-loop graph with a vertex from  $\mathcal{L}^{(2)}$  [tiny dot] and a counterterm from  $\mathcal{L}^{(4)}$  [fat box]. The divergent parts ( $\propto \varepsilon^{-1}$ ) compensate each other, and in the finite parts ( $\propto \varepsilon^0$ ) the  $\mu$ -dependence cancels exactly.*

$$L_i(d) = \frac{(c\mu)^{-2\varepsilon}}{(4\pi)^2} \left\{ -\frac{\Gamma_i}{2\varepsilon} + L_i^{\text{r[en]}}(\mu, [c, \varepsilon]) \right\}, \quad \mu \frac{dL_i^{\text{r}}(\mu)}{d\mu} = -\frac{\Gamma_i}{(4\pi)^2}$$

$$\Gamma_1 = \frac{3}{32}, \Gamma_2 = \frac{3}{16}, \Gamma_3 = 0, \Gamma_4 = \frac{1}{8}, \Gamma_5 = \frac{3}{8}, \Gamma_6 = \frac{11}{144}, \Gamma_7 = 0, \Gamma_8 = \frac{5}{48}, \Gamma_9 = \frac{1}{4}, \Gamma_{10} = -\frac{1}{4}$$

- Dimensional regularization respects all symmetries it should.
- One must(!) evaluate loop-integrals all the way up to infinity.
- For  $N_f = 2$  often  $\bar{\ell}_i = \frac{32\pi^2}{\gamma_i} \ell_i^{\text{r}}(\mu) - \log \frac{M_{\pi}^2}{\mu^2}$  is used, depends on  $M_{\pi}$  instead of  $\mu$ .

## Lattice application: chiral extrapolation

$$M_P^2 = M^2 \left( 1 + \frac{1}{2} \frac{M^2}{(4\pi F)^2} \left[ \log\left(\frac{M^2}{\mu^2}\right) + 64\pi^2 \ell_3^r(\mu) \right] \right)$$

$$F_P = F \left( 1 - \frac{M^2}{(4\pi F)^2} \left[ \log\left(\frac{M^2}{\mu^2}\right) - 16\pi^2 \ell_4^r(\mu) \right] \right)$$

$$M_P^2 = M^2 \left( 1 + \frac{1}{2} \frac{M^2}{(4\pi F)^2} \left[ \log\left(\frac{M^2}{M_\pi^2}\right) - \bar{\ell}_3 \right] \right)$$

$$F_P = F \left( 1 - \frac{M^2}{(4\pi F)^2} \left[ \log\left(\frac{M^2}{M_\pi^2}\right) - \bar{\ell}_4 \right] \right)$$

$$M_P^2 = M^2 \left( 1 + \frac{1}{2} \frac{M^2}{(4\pi F)^2} \log\left(\frac{M^2}{\Lambda_3^2}\right) \right)$$

$$F_P = F \left( 1 - \frac{M^2}{(4\pi F)^2} \log\left(\frac{M^2}{\Lambda_4^2}\right) \right)$$

Last version practical: result depends on scale-independent quantities  $F$ ,  $M^2=2Bm$ ,  $\Lambda_i$

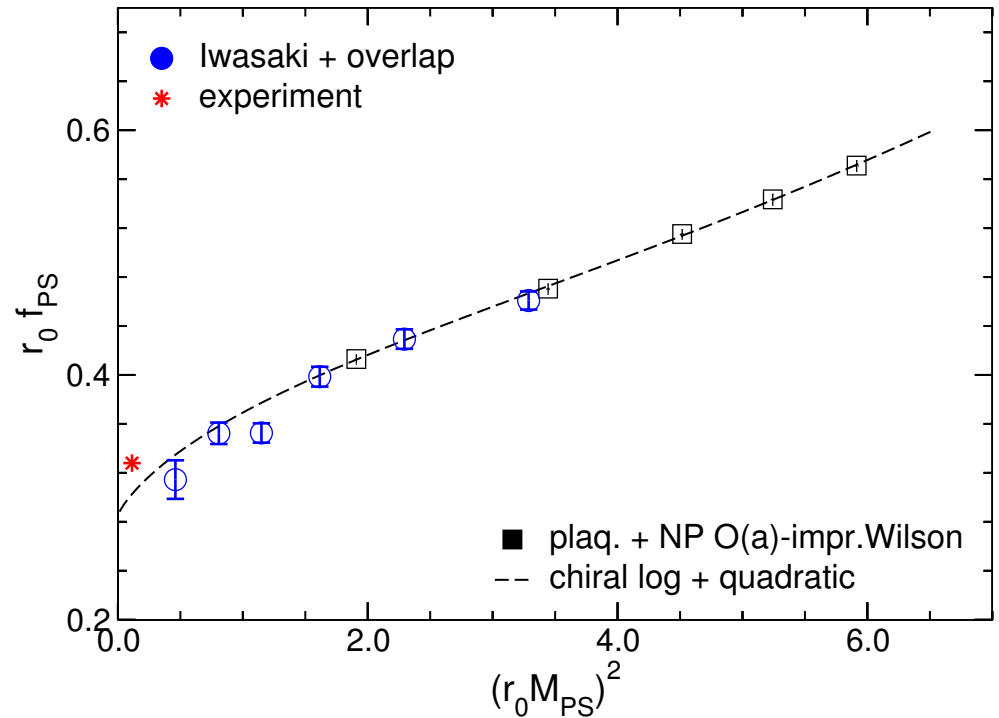
$$M_\pi^2/(2Bm) = 1 + \frac{Bm}{(4\pi F)^2} \log\left(\frac{2Bm}{\Lambda_3^2}\right)$$

$$F_\pi/F = 1 - \frac{2Bm}{(4\pi F)^2} \log\left(\frac{2Bm}{\Lambda_4^2}\right)$$

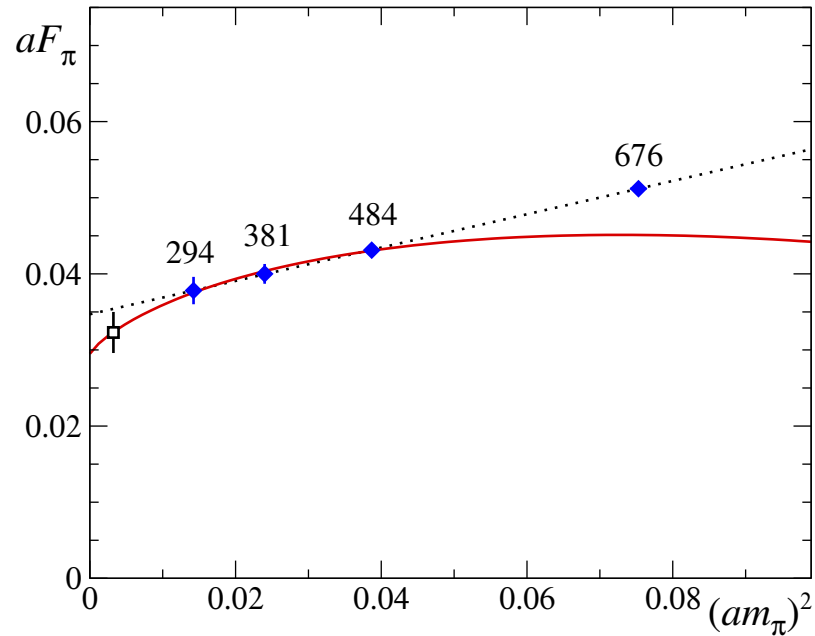
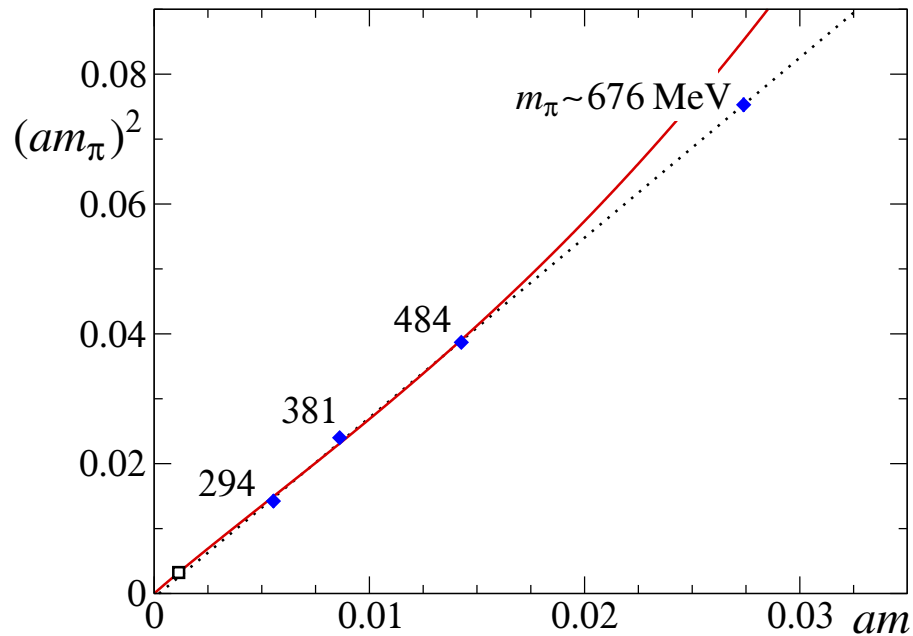
Chiral logs seem gently “switched off”  
at larger quark mass  $\longrightarrow$  unstable fits.

Finite-volume effects mimic chiral logs.

For lattice people “chiral extrapolation”  
means  $m_{ud} \rightarrow m_{ud}^{\text{phys}}$ , not to 0.



JLQCD, PoS (LAT2006) 054 [hep-lat/0610036]

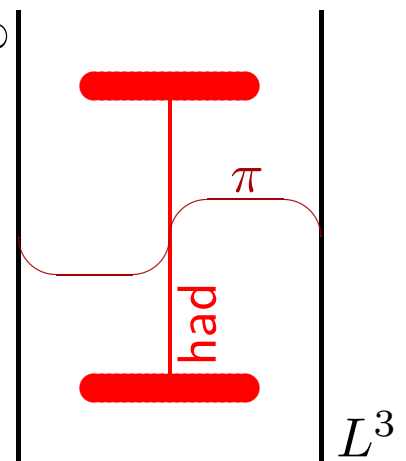


M. Lüscher, PoS (LAT2005) 002

# Lattice application: finite volume effects

idea: 
$$M_{\text{had}}(\infty) = \underbrace{M_{\text{had}}(L)}_{\text{lattice}} \cdot \underbrace{\frac{M_{\text{had}}(\infty)}{M_{\text{had}}(L)}}_{\text{EFT}}$$

core: 
$$\frac{M_{\text{had}}(\infty)}{M_{\text{had}}(L)} = 1 - \text{const} e^{-M_\pi L} \quad (\forall \text{ had})$$

$T \rightarrow \infty$   


In finite (spatial) volume  $V = L^3$  only momenta  $\vec{p} = \frac{2\pi}{L}\vec{n}$ ,  $\vec{n} \in \mathbf{Z}^3$  possible

Two basic conditions for XPT in finite volume: ( $\Lambda_{\text{XPT}} \simeq 4\pi F_\pi \simeq 1 \text{ GeV}$ )

$$(1) \quad m \ll \Lambda_{\text{XPT}} \quad \text{or} \quad M_\pi \ll 4\pi F_\pi \qquad (2) \quad \frac{2\pi}{L} \ll \Lambda_{\text{XPT}} \quad \text{or} \quad 1 \ll 2F_\pi L$$

Once satisfied, still two varieties for pion correlation length:

$$(3a) \quad M_\pi L \gg 1 : \quad M_\pi^2 \sim \frac{1}{L^2} \sim m \qquad \text{“}p\text{-regime” for } T \rightarrow \infty$$

$$(3b) \quad M_\pi L \leq 1 : \quad M_\pi^2 \sim \frac{1}{L^4} \sim m \qquad \text{“}\epsilon\text{-regime” / “}\delta\text{-regime”}$$

## Finite-volume shifts in $M_\pi(L)$ , $F_\pi(L)$

$$\Sigma_\pi^{\text{NLO}}(L) - \Sigma_\pi^{\text{NLO}}(\infty) = \text{[Diagram 1]} + \text{[Diagram 2]} - \text{[Diagram 3]} - \text{[Diagram 4]}$$

The diagrams represent Feynman diagrams for the NLO counterterm difference. Diagram 1 is a circle with a vertical line segment on top and a dot on the bottom. Diagram 2 is a horizontal line with a square on it. Diagram 3 is a circle with a dot on the bottom. Diagram 4 is a horizontal line with a square on it.

$\mathcal{L}^{\text{NLO}}$  counterterm drops out and  $G_L(x) - G_\infty(x) = \sum_{\vec{n} \in \mathbf{Z}^3 \setminus \vec{0}} G_\infty(x^0, \vec{x} + \vec{n}L)$  remains

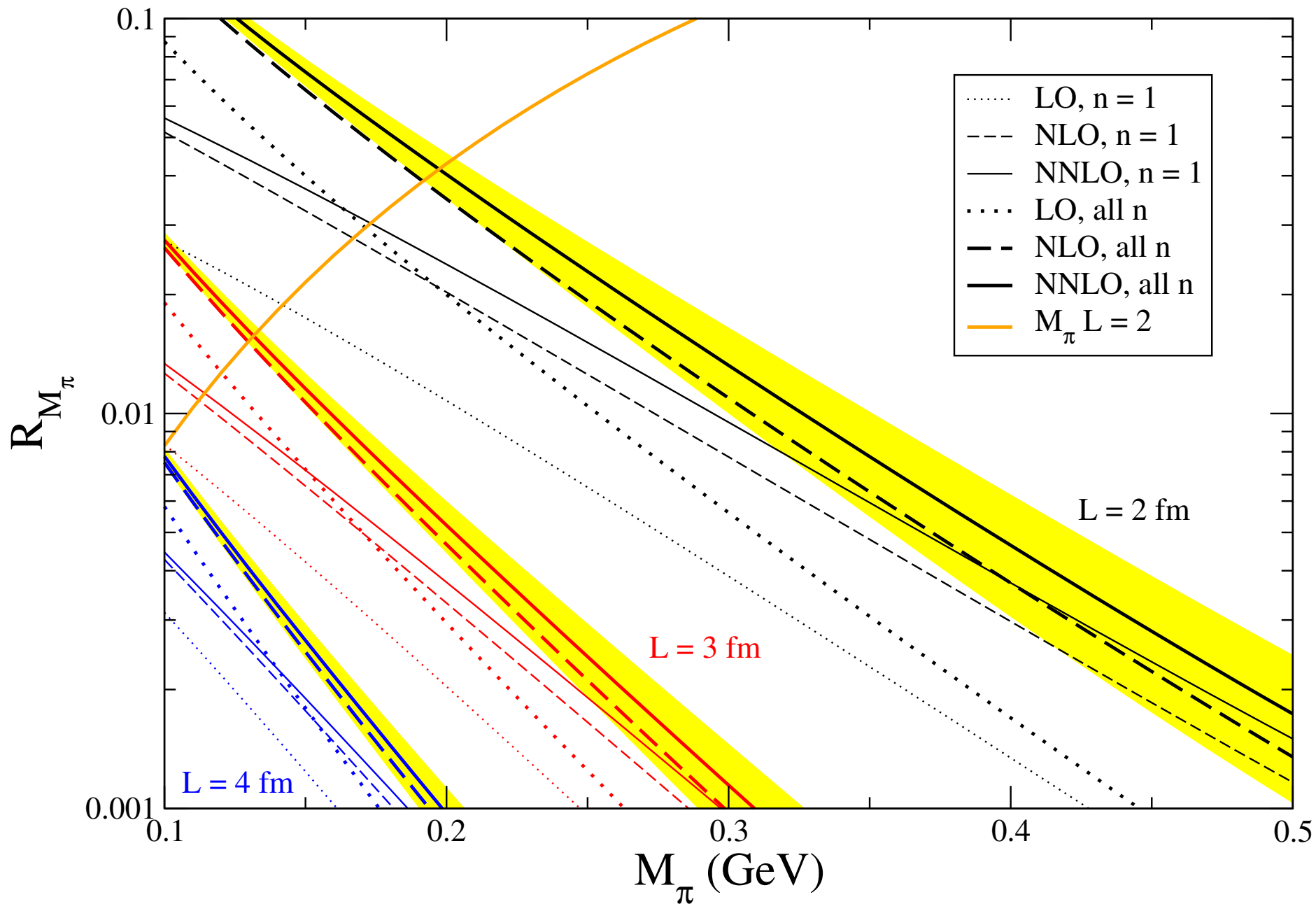
$$M_\pi(L) = M_\pi \left[ 1 + \frac{1}{2N_f} \xi \tilde{g}_1(\lambda) + O(\xi^2) \right]$$

$$F_\pi(L) = F_\pi \left[ 1 - \frac{2}{N_f} \xi \tilde{g}_1(\lambda) + O(\xi^2) \right]$$

where  $N_f \geq 2$ ,  $M_\pi = M_\pi(\infty)$ ,  $F_\pi = F_\pi(\infty)$ ,  $\xi = \frac{M_\pi^2}{(4\pi F_\pi)^2}$ ,  $\lambda = M_\pi L$  and

$$\tilde{g}_1(\lambda) = \int_0^\infty \sum_{\vec{n} \in \mathbf{Z}^3 \setminus \vec{0}} e^{-\frac{1}{\alpha} - \frac{\alpha}{4} \vec{n}^2 \lambda^2} d\alpha = \sum_{n=1}^\infty \frac{4m(n)}{\sqrt{n}\lambda} K_1(\sqrt{n}\lambda)$$

with  $m(n)$  the multiplicity of vectors with  $|\vec{n}^2| = n$



Memo:  $M_\pi(L)/M_\pi = R_{M_\pi} + 1$

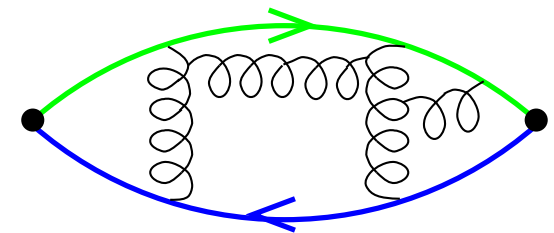
# Lattice extension: partially quenched framework

Hadronic correlator in  $N_f \geq 2$  QCD:  $C(t) = \int d^4x C(t, \mathbf{x}) e^{i\mathbf{p}\mathbf{x}}$  with

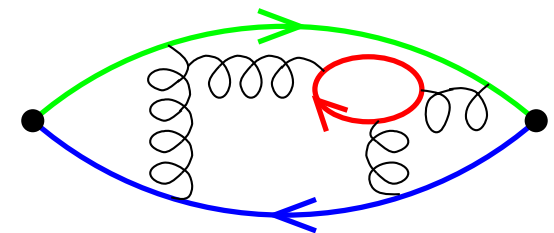
$$C(x) = \langle O(x) O(0)^\dagger \rangle = \frac{1}{Z} \int DU D\bar{q} Dq O(x) O(0)^\dagger e^{-S_G - S_F}$$

where  $O(x) = \bar{d}(x)\Gamma u(x)$  and  $\Gamma = \gamma_5, \gamma_4\gamma_5$  for  $\pi^\pm$  and  
 $S_G = \beta \sum (1 - \frac{1}{3} \text{ReTr} U_{\mu\nu}(x))$ ,  $S_F = \sum \bar{q}(D+m)q$

$$\begin{aligned} \langle \bar{d}(x)\Gamma_1 u(x) \bar{u}(0)\Gamma_2 d(0) \rangle &= \frac{1}{Z} \int DU \det(D+m)^{N_f} e^{-S_G} \\ &\times \text{Tr} \left\{ \Gamma_1 (D+m)_{x0}^{-1} \Gamma_2 \underbrace{(D+m)_{0x}^{-1}}_{\gamma_5 [(D+m)_{x0}^{-1}]^\dagger \gamma_5} \right\} \end{aligned}$$



(A) Quenched QCD: quark loops neglected



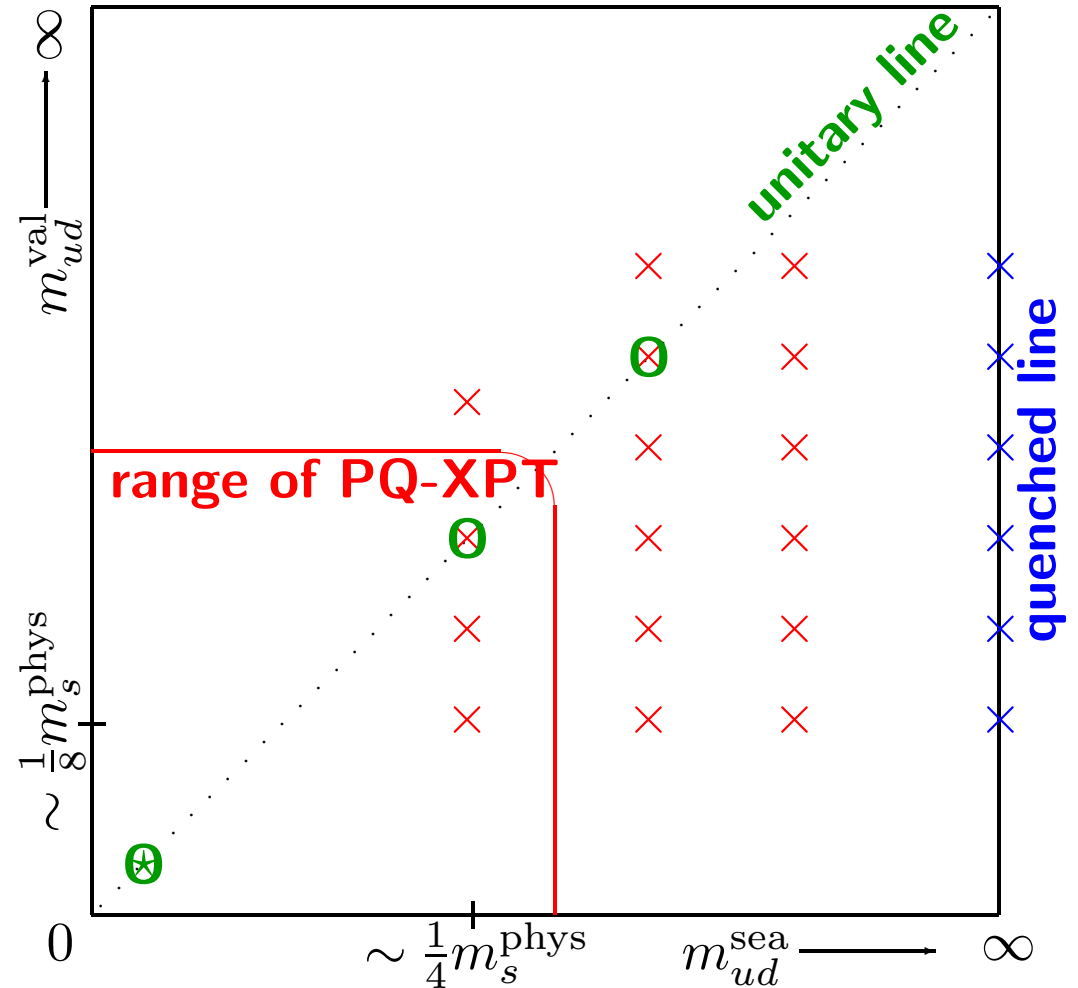
(B) Full QCD

Typically isospin limit  $m_u = m_d$  to save CPU time

Allow for  $m_{\text{valence}} \neq m_{\text{sea}}$  to fully exploit dynamical ensemble

## Strategy of PQ data taking

- PQ-QCD is a useful *extension* of QCD, *same* low-energy constants as (full) QCD; unlike Q-QCD.
- Performing  $a \rightarrow 0$  first and  $m \rightarrow 0$  in a second step is safe but requires precise and matched data.
- (crucial) practical issues:
  - **renormalization**
  - **scale setting**
  - **overlap with regime of XPT**



Q/PQ-QCD: [Bernard](#), [Goltermann](#), [Sharpe](#), [Colangelo](#), [Pallante](#), [Bijnens](#), ...

$O(a, a^2)$ -effects: [Lee](#), [Sharpe](#), [Singleton](#), [Bernard](#), [Aubin](#), [Rupak](#), [Shoresh](#), [Bär](#), [Aoki](#), ...

## Morel representation of $Z$ in Q-QCD and PQ-QCD

Effect of quenching can be described via adding degenerate “bermions”, i.e. bosonic/commuting/ghost spin- $\frac{1}{2}$  particles (with otherwise identical quantum numbers)

$$\int d\bar{q}dq e^{-\bar{q}(D+m)q} = \prod_1^{N_f} \det(D+m)$$
$$\int d\tilde{q}^\dagger d\tilde{q} e^{-\tilde{q}^\dagger(D+m)\tilde{q}} = \prod_1^{N_f} \frac{1}{\det(D+m)}$$

Q-QCD: build  $q = (q_1, \dots, q_{N_v} | \tilde{q}_1, \dots, \tilde{q}_{N_v})^T$  with masses  $m_1, \dots, m_{N_v}$  in either case.

F-QCD: build  $q = (q_1, \dots, q_{N_v}, q_1, \dots, q_{N_v} | \tilde{q}_1, \dots, \tilde{q}_{N_v})^T$  again with same masses.

PQ-QCD: build  $q = (q_1, \dots, q_{N_v}, q_1, \dots, q_{N_s} | \tilde{q}_1, \dots, \tilde{q}_{N_v})^T$  where  $N_s$  may differ from  $N_v$  and pertinent masses are usually different (even if  $N_v = N_s$ ); define  $\bar{q} = (\bar{q}_1, \dots | \tilde{q}_1^\dagger, \dots)$ .

Naively, when  $M \rightarrow 0$ , have graded version of QCD chiral symmetry:

$q_{L,R} \rightarrow V_{L,R} q_{L,R}$  and  $\bar{q}_{L,R} \rightarrow \bar{q}_{L,R} V_{L,R}^\dagger$  with  $V_{L,R} \in U(N_v + N_s | N_v)$

Apparent symmetry is:  $SU(N_v + N_s | N_v)_L \times SU(N_v + N_s | N_v)_R \times U(1)_V$

## Graded groups

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} \quad \begin{array}{l} \text{with } A, D \text{ having commuting ("bosonic")} \text{ entries} \\ B, C \text{ having anti-commuting ("fermionic")} \text{ entries} \end{array}$$

Unitary  $UU^\dagger = U^\dagger U = 1$ ; special means  $\text{sdet}(U) = \det(A - BD^{-1}C) / \det(D) = 1$ ;  
 $\text{str}(U) = \text{tr}(A) - \text{tr}(D)$ ; logarithm through  $U = \exp(i\sqrt{2}\phi/F)$ .

## Symmetry breaking pattern for PQ-XPT

Conjectured SSB:  $SU(N_v + N_s|N_v)_L \times SU(N_v + N_s|N_v)_R \longrightarrow SU(N_v + N_s|N_v)_V$

Highly non-trivial (but testable) hypothesis on the dynamics of the theory !

With  $U = \exp(i\sqrt{2}\phi/F)$  and graded hermitean supertrace-free  $\phi$  the rest is standard [up to a subtlety in the ghost sector and fewer Cayley-Hamilton relations].

Note the enormous cancellation between Goldstone bosons and Goldstone fermions: Goldstone bosons can be  $q\bar{q}$  or  $\tilde{q}\tilde{q}^\dagger$  states. Goldstone fermions are  $\tilde{q}\bar{q}$  or  $q\tilde{q}^\dagger$  states.

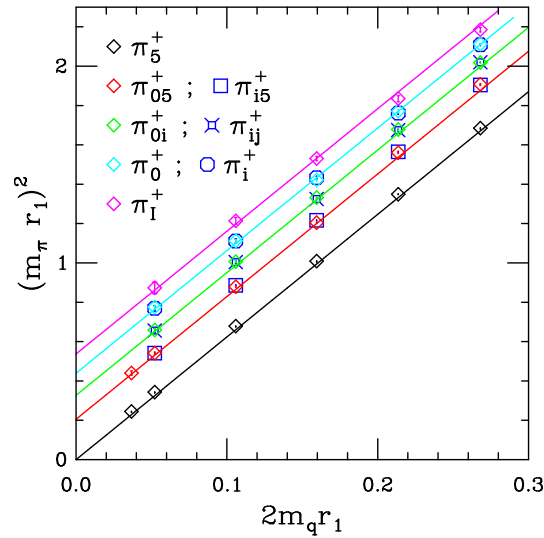
SSB of  $SU(N_v + N_s|N_v)_A$  leads to  $(N_v + N_s)^2 + N_v^2 - 1$  bosons and  $2N_v(N_v + N_s)$  fermions. Net effect equivalent to a theory with  $N_s^2 - 1$  bosons — just as desired !

## Lattice extension: cut-off effects

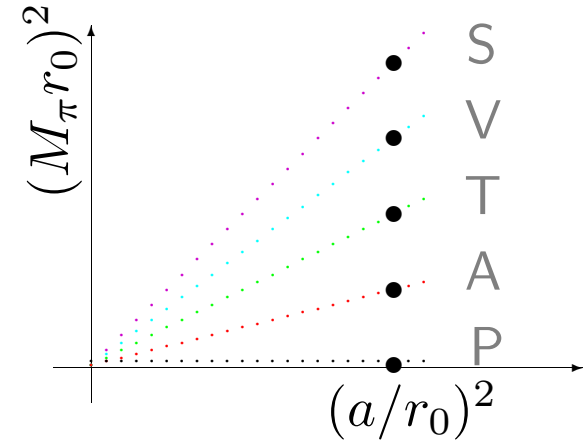
- **Wilson** fermions: break chiral symmetry, since  $\bar{\psi}D_W\psi = \bar{\psi}\left(\frac{\nabla+\nabla^*}{2} + \dots - \frac{a}{2}\nabla_\mu\nabla_\mu^*\right)\psi$
- **clover** fermions: ditto, but milder
- **near-chiral** fermions [fat-link/planar/chirally-improved]: ditto, even milder
- **chiral** fermions [overlap/domain-wall with  $L_5 \rightarrow \infty$ ]:  $\gamma_5 D + D\gamma_5 = \frac{a}{\rho}D\gamma_5 D$  [GW]
- **perfect** fermions: again GW relation, continuum-like dispersion relation
- **twisted-mass** fermions: mass term is rotated into  $V_R M V_L^\dagger$  (modulo renormalization)
- **staggered** fermions: 4 near-degenerate species per continuum flavor

Extend continuum XPT to account for formulation-specific cut-off effects. Crucial for staggered, desirable for twisted-mass fermions, as they have unphysical isospin-violations. Useful for non-chiral actions to connect cut-off effects in different observables.

# Staggered XPT



Taste splitting makes most  $\bar{d}(\gamma_5 \otimes T)u$  combinations become non-Goldstone bosons:



Assumption: With  $N_f$  flavors of (4-taste) quark fields the pattern of SSB is  $SU(4N_f)_L \times SU(4N_f)_R \rightarrow SU(4N_f)_V$  leading to  $16N_f^2 - 1$  pseudo-Goldstone bosons, collected in the  $12 \times 12$  matrix ( $N_f = 3$ )

$$U = e^{i\Phi/f} \quad \Phi = \begin{pmatrix} \Phi_u & \pi^+ & K^+ \\ \pi^- & \Phi_d & K^0 \\ K^- & \bar{K}^0 & \Phi_s \end{pmatrix} = \sum_{a,b=1}^{9,16} \Phi^{ab} \frac{\lambda^a}{2} T^b \quad M = \begin{pmatrix} m_u I_4 & 0 & 0 \\ 0 & m_d I_4 & 0 \\ 0 & 0 & m_s I_4 \end{pmatrix}$$

with  $U \rightarrow V_R U V_L^\dagger$  under chiral rotations. Counting  $p^2 \sim m \sim a^2$  yields

$$\mathcal{L} = \frac{f^2}{8} \text{tr}(\partial_\mu U \partial_\mu U^\dagger) - \frac{\Sigma}{2} \text{tr}(MU + MU^\dagger) + \frac{2m_0^2}{3} (\Phi_{u,TS} + \Phi_{d,TS} + \Phi_{s,TS})^2 + a^2 V_{TB}$$

# Summary

QCD is a fascinating field theory – many detailed predictions from one Lagrangian !

- Conventional PT fails for low-energy observables (coupling is strong).
- Lattice QCD solves the problem by brute force, often interesting regime accessible only via extrapolation ( $m \rightarrow 0$ ,  $V \rightarrow \infty$ ,  $q^2 \rightarrow 0$ , ...).
- XPT helps, since it knows about collective degrees of freedom ( $\pi, K, \eta, \dots$ ) and about their soft interaction at low relative momentum:
  - Goldstone theorem provides understanding of soft-coupling low-energy ds.o.f.
  - Symmetries of underlying Lagrangian dictate setup of effective low-energy theory
  - Dimensional regularization allows to use conventional weak-coupling techniques
  - Wealth of relations among otherwise unrelated low-energy processes (exp & lat)
- Dedicated extensions of XPT for partial quenching and lattice artefacts rest on non-trivial (but testable) assumptions for the SSB pattern, rest is standard.
- Progress likely from combining LQCD & XPT & other fields: plenty of work for you!

# Literature

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- J. Gasser, H. Leutwyler, *Annals Phys.* 158, 142 (1984)
- J. Gasser, H. Leutwyler, *Nucl. Phys.* B250, 465 (1985)

## Reviews:

- Gasser: hep-ph/0312367, hep-ph/9912548
- Leutwyler: hep-ph/0011049, hep-ph/0008124, hep-ph/9609465
- Ecker: hep-ph/0011026, hep-ph/9805300, hep-ph/9608226
- Bijmans: hep-ph/0604043, hep-ph/0409068
- Kaplan: nucl-th/0510023
- Sharpe: hep-lat/0607016

## Books:

- John F. Donoghue et al.: *Dynamics of the Standard Model*, Cambridge 1994
- A. Dobado et al.: *Effective Lagrangians for the standard model*, Springer 1997

## Glossary

|         |   |
|---------|---|
| Q-QCD:  | quenched QCD [determinant excluded]                           |
| F-QCD:  | full (ordinary) QCD [determinant included]                    |
| PQ-QCD: | partially quenched QCD [determinant has “wrong” quark masses] |
| PQCD:   | perturbative QCD  |
| LQCD:   | lattice QCD   |
| XPT:    | chiral perturbation theory                                    |
| GFL:    | GellMann-Fritzsch-Leutwyler                                   |
| GOR:    | GellMann-Oakes-Renner   |
| GO:     | GellMann-Okubo  |
| GL:     | Gasser-Leutwyler  |
| GW:     | Ginsparg-Wilson   |
| WW:     | Wigner-Weyl   |
| NG:     | Nambu-Goldstone   |
| LA:     | Lie algebra   |
| SSB:    | spontaneous symmetry breaking/breakdown                       |
| EOM:    | equation of motion  |
| RGI:    | renormalization group invariant                               |

## Pion pole dominance and soft-coupling theorems

Goldstone:  $\langle 0|A_\mu^a(0)|\pi^b(\vec{p})\rangle = iF_\pi^{ab}p_\mu = i\delta^{ab}F_\pi p_\mu$

derivative:  $\langle 0|\partial_\mu A_\mu^a(0)|\pi^b(\vec{p})\rangle = \underbrace{\delta^{ab}}_{\langle 0|\phi^a|\pi^b\rangle} F_\pi \underbrace{p^2}_{M_\pi^2}$

PCAC:  $\boxed{\partial_\mu A_\mu^a = F_\pi M_\pi^2 \phi^a}$   $\left\{ \begin{array}{l} \text{hypothesis concerns off-shell behavior} \\ \partial_\mu A_\mu^a \text{ sensitive to expl. symm. breaking} \end{array} \right.$

- Goldstone bosons generate singularities in Green functions; e.g. poles at  $p^2 = 0$  from one-pion-states:  $\int \langle 0|T\{A_\mu^a(x)A_\mu^a(0)|0\rangle\} e^{ipx} dx = \delta^{ab}(p_\mu p_\nu - g_{\mu\nu}p^2)\Pi_{AA}(p^2)$  with  $\Pi_{AA}(p^2) = F_\pi^2/(p^2 + i0) + \text{const} + O(p^2)$ .
- Lorentz covariance plus CVC/PCAC imply soft coupling theorems:

$$p^\mu \langle \pi^{a_1}(\vec{p}_1) \dots \pi^{a_{2n}}(\vec{p}_{2n}) | V_\mu^a | 0 \rangle = 0 \quad [\partial_\mu V_\mu^a = 0, p_\mu = (p_1 + \dots + p_{2n})_\mu]$$

$$p^\mu \langle \pi^{a_1}(\vec{p}_1) \dots \pi^{a_{2n+1}}(\vec{p}_{2n+1}) | A_\mu^a | 0 \rangle = 0 \quad [\partial_\mu A_\mu^a = 0, p_\mu = (p_1 + \dots + p_{2n+1})_\mu]$$

$$n = 2 : \langle \pi^{a_1}(\vec{p}_1) \pi^{a_2}(\vec{p}_2) | V_\mu^a(0) | 0 \rangle = \frac{iC_\pi p_\mu}{p^2 + i0} g_{a_1 a_2 a}(p_1, p_2) + \dots$$

$$p_\mu \langle \dots | V_\mu^a(0) | 0 \rangle = -iC_\pi g_{a_1 a_2 a}(p_1, p_2) + \dots \stackrel{p_1, p_2 \rightarrow 0}{=} 0 \longrightarrow g_{a_1 a_2 a}(0, 0) = 0$$

$$n = 3 : \langle \pi^{a_1}(\vec{p}_1) \dots \pi^{a_3}(\vec{p}_3) | A_\mu^a(0) | 0 \rangle = \frac{iD_\pi p_\mu}{p^2 + i0} g_{a_1 a_2 a_3 a}(p_1, p_2, p_3) + \dots$$

$$p_\mu \langle \dots | A_\mu^a(0) | 0 \rangle = -iD_\pi g_{a_1 a_2 a_3 a}(p_1 \dots p_3) + \dots \stackrel{p_1, \dots, p_3 \rightarrow 0}{=} 0 \longrightarrow g_{a_1 a_2 a_3 a}(0, 0, 0) = 0$$

## Order-by-order renormalizability

Example:  $VV$ -correlator to two loops

$O(p^2)$  contribution [vanishes for  $VV$ ]

$O(p^4)$  contribution from (a-c):

1-loop diagrams with vertices from  $\mathcal{L}^{(2)}$

0-loop diagrams with 1 vertex from  $\mathcal{L}^{(4)}$

$O(p^6)$  contribution from (d-o):

2-loop diagrams with vertices from  $\mathcal{L}^{(2)}$

1-loop diagrams with 1 vertex from  $\mathcal{L}^{(4)}$

0-loop diagrams with 2 vertices from  $\mathcal{L}^{(4)}$

0-loop diagrams with 1 vertex from  $\mathcal{L}^{(6)}$

When shrinking a given loop into a blob, there is a vertex from  $\mathcal{L}^{(4)}$  or  $\mathcal{L}^{(6)}$  to absorb its divergence: pieces  $\propto \varepsilon^{-2}, \varepsilon^{-1}$  cancel,  $\mu$ -dependence in  $\propto \varepsilon^0$  drops out

